

# Electronic and Optical Properties of a Graphene Monolayer in a Spatially Modulated Magnetic Field

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# **Tight-binding Model in a Graphene Monolayer**

**Bloch Function:  $\psi_{\mathbf{k}}(\mathbf{r})$**

$$\psi_{\mathbf{k}}(\mathbf{r}) = u_{\mathbf{k}}(\mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{r})$$

$$u_{\mathbf{k}}(\mathbf{r}) = u_{\mathbf{k}}(\mathbf{r} + \mathbf{T})$$

**$u_{\mathbf{k}}(\mathbf{r})$  is a periodic function**

**with the period  $\mathbf{T}$**

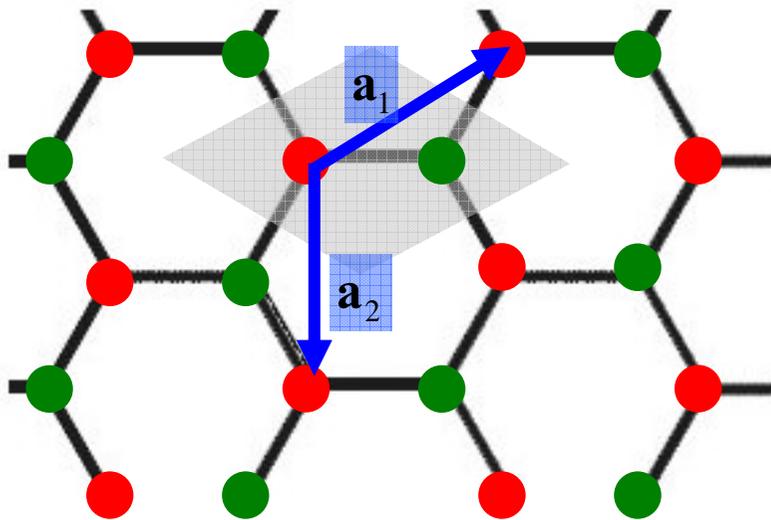
## Tight-binding Model

$$\begin{aligned}\psi_{\mathbf{k}}(\mathbf{r}) &= \frac{1}{\sqrt{N}} \sum_j e^{i\mathbf{k}\cdot\mathbf{r}_j} \phi(\mathbf{r} - \mathbf{r}_j) \\ &= e^{i\mathbf{k}\cdot\mathbf{r}} \frac{1}{\sqrt{N}} \sum_j e^{-i\mathbf{k}\cdot(\mathbf{r}-\mathbf{r}_j)} \phi(\mathbf{r} - \mathbf{r}_j)\end{aligned}$$

$\psi_{\mathbf{k}}(\mathbf{r})$ : **Tight-binding function (Bloch function)**

$\phi(\mathbf{r} - \mathbf{r}_j)$ : **atomic orbital at  $\mathbf{r}=\mathbf{r}_j$**

# Graphene Monolayer



$$\Psi = a_A \psi_A + a_B \psi_B \quad (1)$$

$$\psi_A = \frac{1}{\sqrt{N}} \sum_{\mathbf{r}_A} e^{i\mathbf{k} \cdot \mathbf{r}_A} \phi(\mathbf{r} - \mathbf{r}_A)$$

$$\psi_B = \frac{1}{\sqrt{N}} \sum_{\mathbf{r}_B} e^{i\mathbf{k} \cdot \mathbf{r}_B} \phi(\mathbf{r} - \mathbf{r}_B)$$

Ref: P. R. Wallace, Phys. Rev. 71, 622 (1947)

$$H\Psi = E\Psi$$

$$\Rightarrow \langle \psi_A | H | \Psi \rangle = E \langle \psi_A | \Psi \rangle$$

$$\Rightarrow \langle \psi_A | H | \psi_A \rangle a_A + \langle \psi_A | H | \psi_B \rangle a_B = E \langle \psi_A | \psi_A \rangle a_A$$

$$\Rightarrow \langle \psi_A | H | \psi_A \rangle a_A + \langle \psi_A | H | \psi_B \rangle a_B = E a_A$$

同理  $\langle \psi_B | H | \psi_A \rangle a_A + \langle \psi_B | H | \psi_B \rangle a_B = E a_B$

**Define:**  $H_{11} = \langle \psi_A | H | \psi_A \rangle$   $H_{22} = \langle \psi_B | H | \psi_B \rangle$

$$H_{12} = \langle \psi_A | H | \psi_B \rangle \quad H_{21} = \langle \psi_B | H | \psi_A \rangle$$

$H_{12}$  ( $H_{21}$ ): **Hopping integral**

$$\begin{cases} H_{11}a_A + H_{12}a_B = Ea_A \\ H_{21}a_A + H_{22}a_B = Ea_B \end{cases}$$

$$\Rightarrow \det \begin{vmatrix} H_{11} - E & H_{12} \\ H_{21} & H_{22} - E \end{vmatrix} = 0$$

**where**  $H_{11} = H_{22}$   $H_{21} = H_{12}^*$

$$\Rightarrow (H_{11} - E)^2 = H_{12} \cdot H_{12}^* = |H_{12}|^2$$

$$\Rightarrow E - H_{11} = \pm |H_{12}|$$

$$\begin{aligned}
H_{12} &= \langle \psi_A | H | \psi_B \rangle \\
&= \frac{1}{N} \left\langle \sum_{\mathbf{r}_A} e^{i\mathbf{k}\cdot\mathbf{r}_A} \phi(\mathbf{r} - \mathbf{r}_A) | H | \sum_{\mathbf{r}_B} e^{i\mathbf{k}\cdot\mathbf{r}_B} \phi(\mathbf{r} - \mathbf{r}_B) \right\rangle \\
&= \frac{1}{N} \sum_{\mathbf{r}_A, \mathbf{r}_B} e^{i\mathbf{k}\cdot(\mathbf{r}_B - \mathbf{r}_A)} \langle \phi(\mathbf{r} - \mathbf{r}_A) | H | \phi(\mathbf{r} - \mathbf{r}_B) \rangle \\
\stackrel{\mathbf{r} \rightarrow \mathbf{r} + \mathbf{r}_A}{\Rightarrow} H_{12} &= \frac{1}{N} \sum_{\mathbf{r}_A, \mathbf{r}_B} e^{i\mathbf{k}\cdot(\mathbf{r}_B - \mathbf{r}_A)} \langle \phi(\mathbf{r}) | H | \phi(\mathbf{r} - (\mathbf{r}_B - \mathbf{r}_A)) \rangle \\
\stackrel{\mathbf{r}_B - \mathbf{r}_A = \boldsymbol{\rho}_A}{\Rightarrow} H_{12} &= \sum_{\boldsymbol{\rho}_A} e^{i\mathbf{k}\cdot\boldsymbol{\rho}_A} \langle \phi(\mathbf{r}) | H | \phi(\mathbf{r} - \boldsymbol{\rho}_A) \rangle \\
&\equiv \sum_{nn} e^{i\mathbf{k}\cdot\boldsymbol{\rho}_{nn}} \langle \phi(\mathbf{r}) | H | \phi(\mathbf{r} - \boldsymbol{\rho}_{nn}) \rangle = -\gamma_0 \sum_{nn} e^{i\mathbf{k}\cdot\boldsymbol{\rho}_{nn}} \quad (2)
\end{aligned}$$

$$H_{12} = -\gamma_0 \sum_{nn} e^{i\mathbf{k} \cdot \mathbf{p}_{nn}}$$

$$= -\gamma_0 (e^{ik_x b'} + e^{i(-k_x b'/2 + k_y a/2)} + e^{i(-k_x b'/2 - k_y a/2)})$$

$$= -\gamma_0 [e^{ik_x b'} + e^{-ik_x b'/2} (e^{ik_y a/2} + e^{-ik_y a/2})]$$

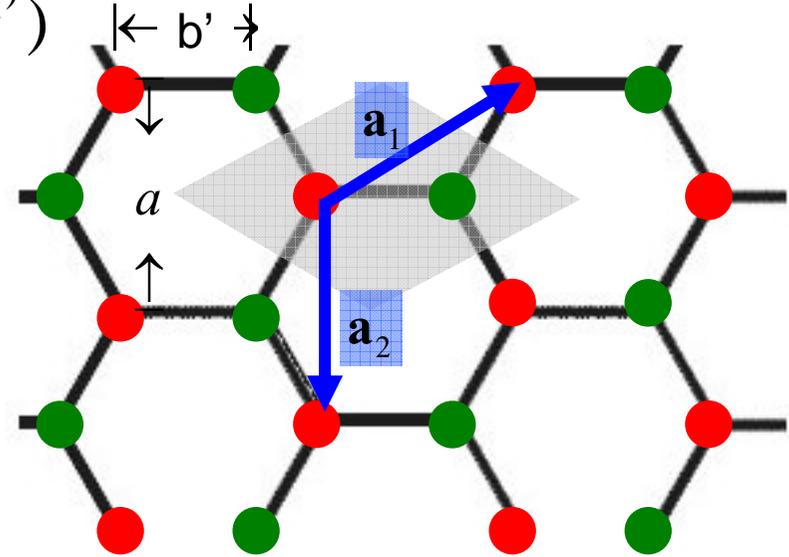
$$= -\gamma_0 [e^{ik_x b'} + 2 \cos(k_y a/2) e^{-ik_x b'/2}]$$

$$H_{21} = -\gamma_0 [e^{-ik_x b'} + 2 \cos(k_y a/2) e^{ik_x b'/2}]$$

$$\varepsilon = E - H_{11} = \pm |H_{12}|$$

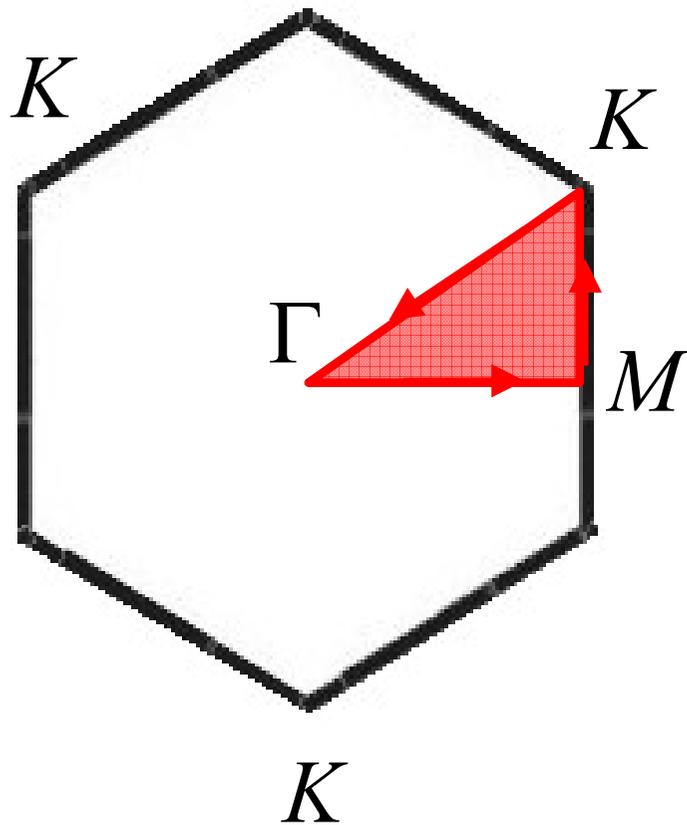
$$= \pm \gamma_0 [1 + 4 \cos^2(k_y a/2) + 4 \cos(k_y a/2) \cos(k_x 3b'/2)]^{1/2}$$

$$= \pm \gamma_0 [1 + 4 \cos^2(\frac{\sqrt{3}b'k_y}{2}) + 4 \cos(\frac{\sqrt{3}b'k_y}{2}) \cos(\frac{3b'k_x}{2})]^{1/2} \quad (3)$$



$H_{11}$  and  $H_{22}$  are assumed to be zero

$$\gamma_0 = 2.56 \text{ eV}$$



$$K\left(\frac{2\pi}{3b'}, \frac{2\pi}{3b'} \times \frac{1}{\sqrt{3}}\right)$$

$$\varepsilon_K = \pm\gamma_0 \left(1 + 4\cos^2 \frac{\pi}{3} + 4\cos \frac{\pi}{3} \cos \pi\right)^{1/2}$$

$$= \pm\gamma_0 (1 + 1 - 2)^{1/2} = 0$$

$$\Gamma(0,0)$$

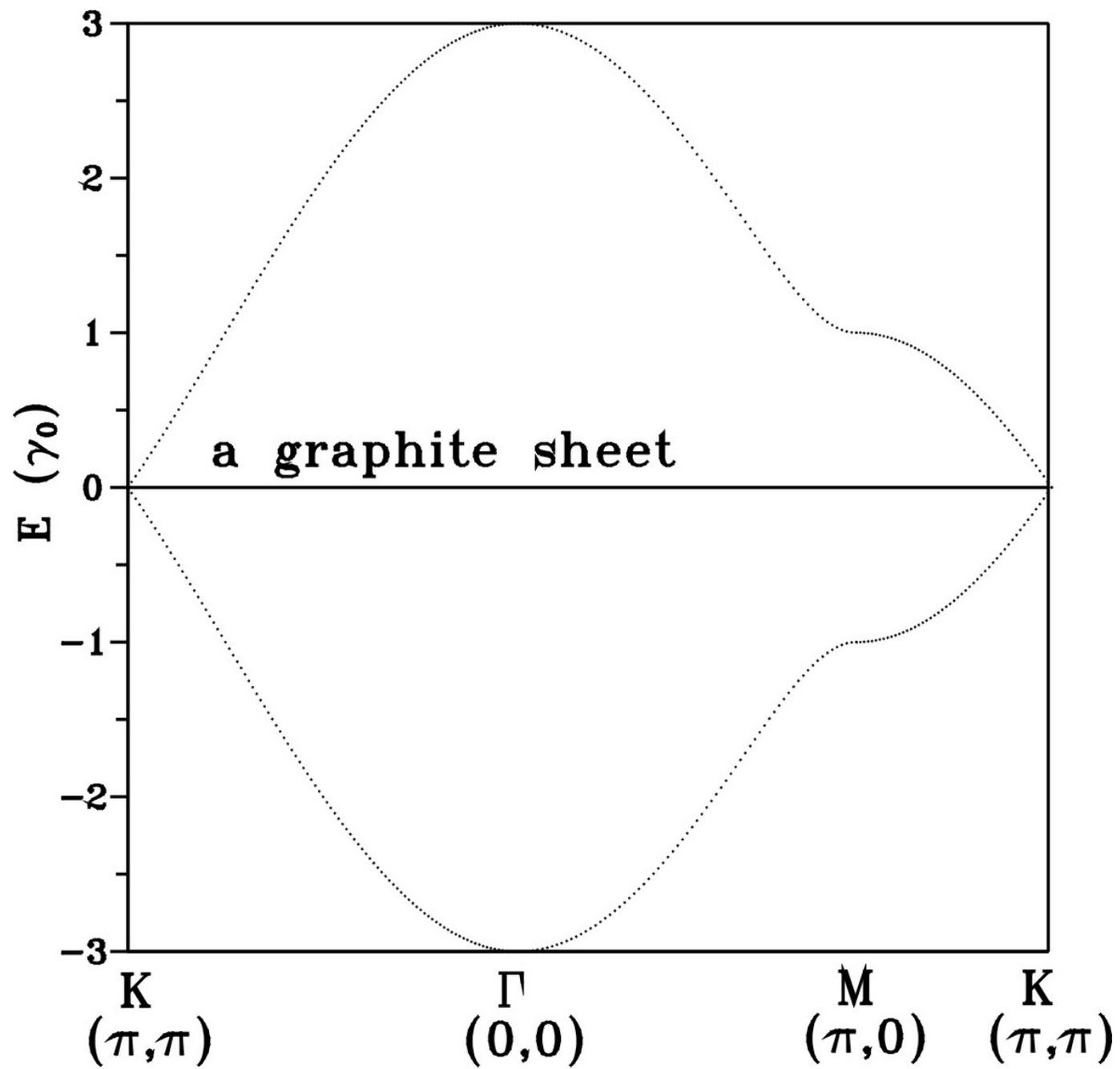
$$\varepsilon_\Gamma = \pm\gamma_0 (1 + 4\cos^2 0 + 4\cos 0 \cos 0)^{1/2}$$

$$= \pm\gamma_0 (1 + 4 + 4)^{1/2} = \pm 3\gamma_0$$

$$M\left(\frac{2\pi}{3b'}, 0\right)$$

$$\varepsilon_M = \pm\gamma_0 (1 + 4\cos^2 0 + 4\cos 0 \cos \pi)^{1/2}$$

$$= \pm\gamma_0 (1 + 4 - 4)^{1/2} = \pm\gamma_0$$

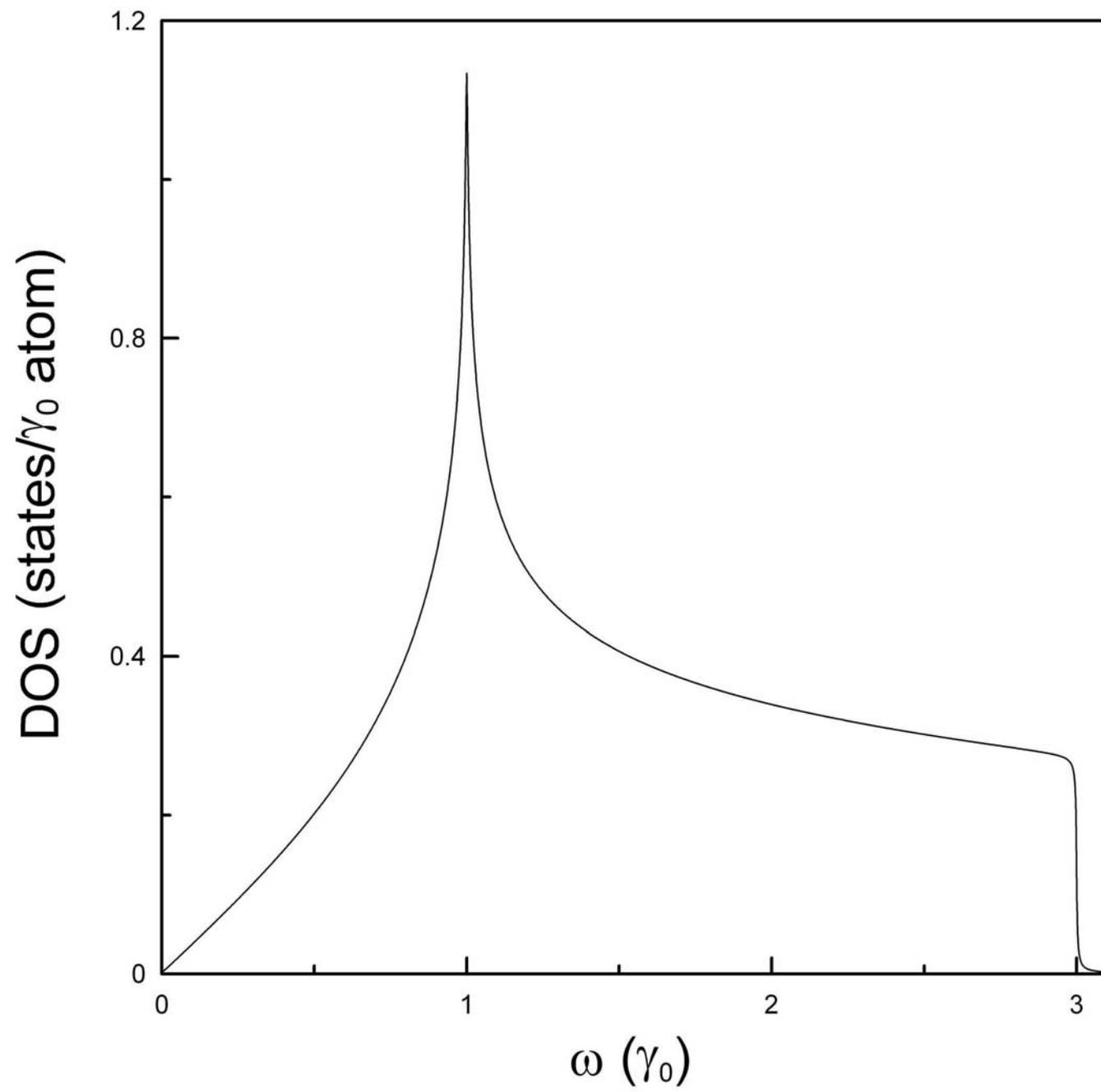


## Density of states (DOS)

$$D(\omega)$$

$$= \sum_{h=c,v} \int_{1st\ BZ} \frac{d\mathbf{k}}{(2\pi)^2} \frac{\Gamma}{\pi} \frac{1}{[E^h(\mathbf{k}) - \omega]^2 + \Gamma^2} \quad (4)$$

$\Gamma$  : phenomenological broadening parameter

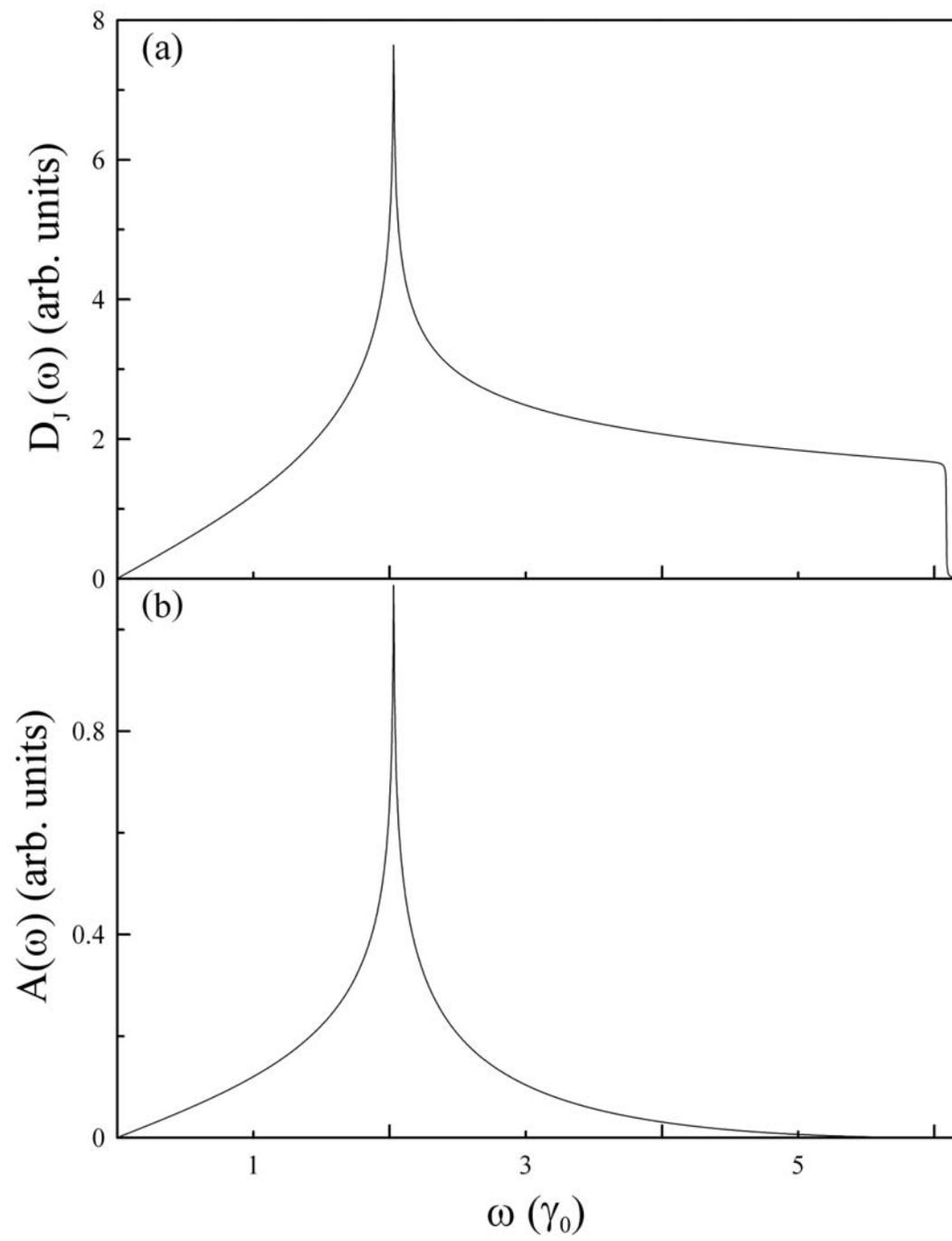


## Optical absorption spectra:

$$A(\omega) \propto \sum_{c,v,\tilde{n},\tilde{n}'} \int_{1st\ BZ} \frac{d\mathbf{k}}{(2\pi)^2} \left| \left\langle \Psi^c(\mathbf{k},\tilde{n}) \left| \frac{\hat{\mathbf{E}} \cdot \mathbf{P}}{m_e} \right| \Psi^v(\mathbf{k},\tilde{n}') \right\rangle \right|^2$$
$$\times \text{Im} \left[ \frac{f(E^c(\mathbf{k},\tilde{n})) - f(E^v(\mathbf{k},\tilde{n}'))}{E^c(\mathbf{k},\tilde{n}) - E^v(\mathbf{k},\tilde{n}') - \omega - i\Gamma} \right] \quad (5a)$$

## Joint density of states:

$$D_J: \text{Set } \left\langle \Psi^c(\mathbf{k},\tilde{n}) \left| \frac{\hat{\mathbf{E}} \cdot \mathbf{P}}{m_e} \right| \Psi^v(\mathbf{k},\tilde{n}') \right\rangle = 1 \quad (5b)$$



**The Bloch functions in a static magnetic field:**

$$\Phi(\vec{k}, \vec{r}) = \frac{1}{\sqrt{N}} \sum_{\vec{R}} \exp\left(i\vec{k} \cdot \vec{r} + i\frac{e}{\hbar} G_{\vec{R}}\right) \phi(\vec{r} - \vec{R}) \quad (6)$$

$$G_{\vec{R}} = \int_{\vec{R}}^{\vec{r}} A(\xi) d\xi = \int_0^1 (\vec{r} - \vec{R}) \cdot \vec{A}\left(\vec{R} + \lambda[\vec{r} - \vec{R}]\right) d\lambda \quad (7)$$

$G_{\vec{R}}$ : Peierls phase

**Ref: J. M. Luttinger, Phys. Rev. 84, 814~817 (1951)**

$$\begin{aligned}
H\Phi(\vec{k}, \vec{r}) &= \frac{1}{\sqrt{N}} \sum_{\vec{R}} \exp\left(i\vec{k} \cdot \vec{R} + i\frac{e}{\hbar} G_{\vec{R}}\right) \left(\frac{\vec{P}^2}{2m} + V\right) \phi(\vec{r} - \vec{R})
\end{aligned}$$

$$\begin{aligned}
H_{\vec{k}\vec{k}'} &= \langle \Phi_{\vec{k}'} | H | \Phi_{\vec{k}} \rangle \\
&= \frac{1}{N} \sum_{\vec{R}\vec{R}'} e^{i(\vec{k} \cdot \vec{R} - \vec{k}' \cdot \vec{R}') + (ie/\hbar)(G_{\vec{R}} - G_{\vec{R}'})} H_{\vec{R}\vec{R}'}
\end{aligned}$$

$$H_{\vec{R}\vec{R}'} = \left\langle \Phi(\vec{r} - \vec{R}) \left| \frac{\vec{P}^2}{2m} + V \right| \Phi(\vec{r} - \vec{R}') \right\rangle \quad (8)$$

$$G_{\vec{R}} - G_{\vec{R}'} = \int_0^1 (\vec{R}' - \vec{R}) \cdot \vec{A}(\vec{R} - \lambda [\vec{R}' - \vec{R}]) d\lambda \quad (9)$$

The flux quantum  $\phi_0$

$$\phi_0 = \frac{h}{e} = 4.1356 \times 10^{-15} [T/m^2] \quad (10)$$

For a graphene monolayer,  $\Phi_0 \approx 79000$  T through a hexagon area.

For a single-layer graphene:

(1). Modulated magnetic field :

$$\vec{B} = (0, 0, B \sin(k_B x)) \text{ and } \vec{A} = \left( 0, \frac{-B}{k_B} \cos(k_B x), 0 \right)$$

(i). Armchair

(ii). Zigzag

Modulated magnetic field:

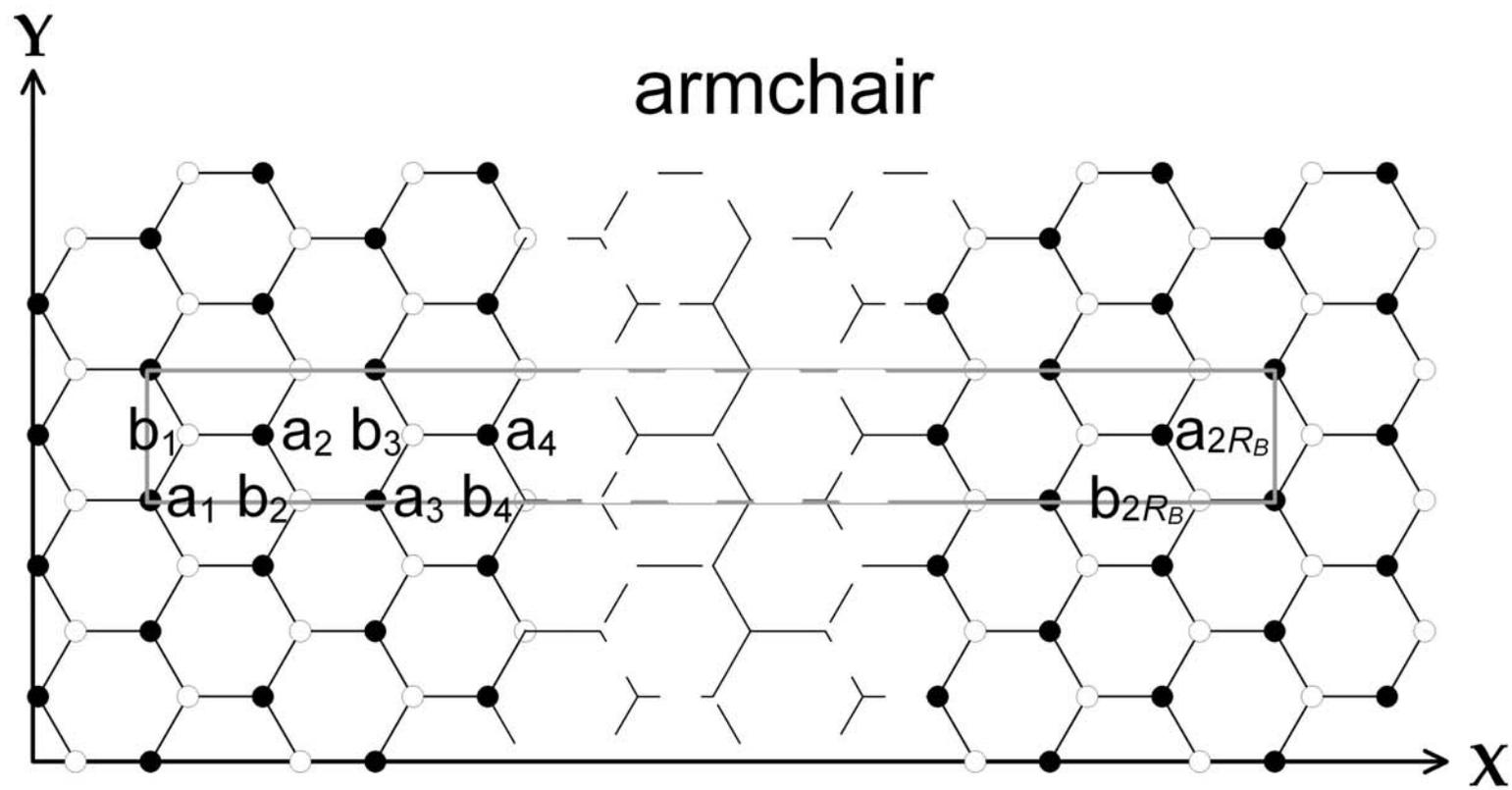
$$\vec{B} = (0, 0, B \sin(k_B x)) \quad \vec{A} = \left( 0, \frac{-B}{k_B} \cos(k_B x), 0 \right)$$

Along the armchair direction:

$$k_B = 2\pi / l_B = 2\pi / 3b'R_B$$

Along the zigzag direction:

$$k_B = 2\pi / l_B = 2\pi / \sqrt{3}b'R_B$$



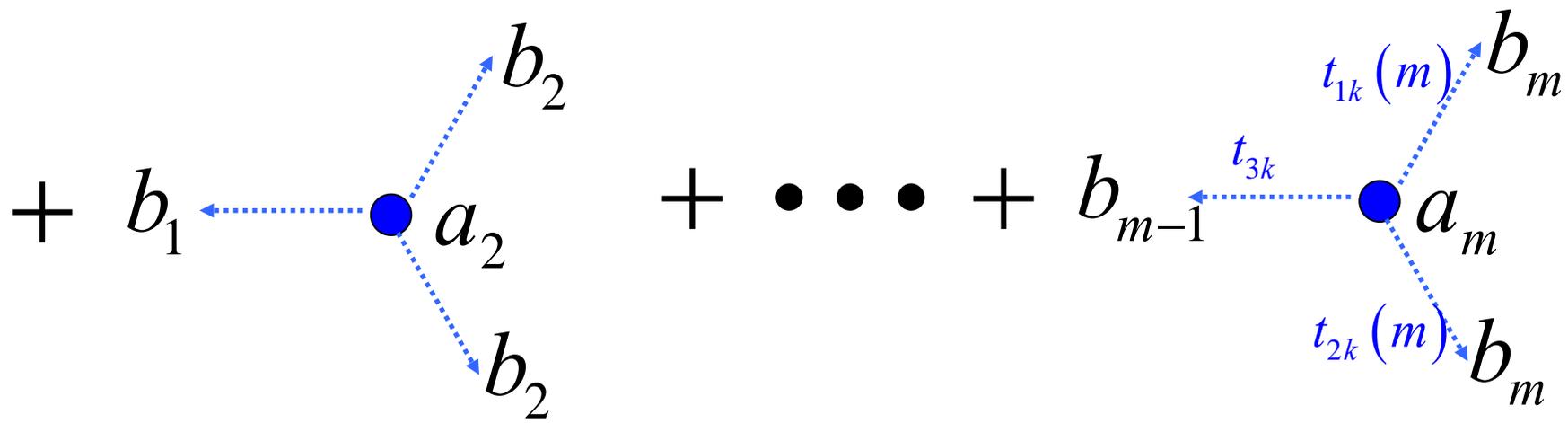
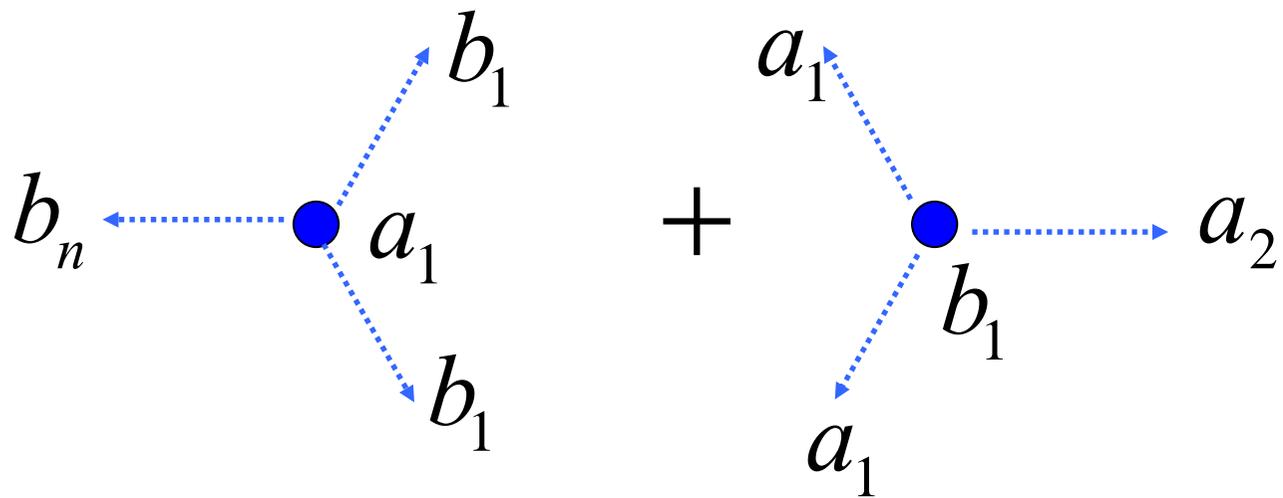
The positions of atoms a and b:

The original point  $a_1 = (0,0)$ , then

$$\begin{cases} a_m^x = \frac{3b'}{2}(m-1) \\ b_m^x = \frac{3b'}{2}(m-1) + \frac{b'}{2} \end{cases} \quad (11)$$

## The $\pi$ -electronic wave functions

$$|\Psi_{\mathbf{k}}\rangle = \sum_{m=1}^{2R_B} C_{a\mathbf{k}}^m |a_{m\mathbf{k}}\rangle + C_{b\mathbf{k}}^m |b_{m\mathbf{k}}\rangle \quad (12)$$

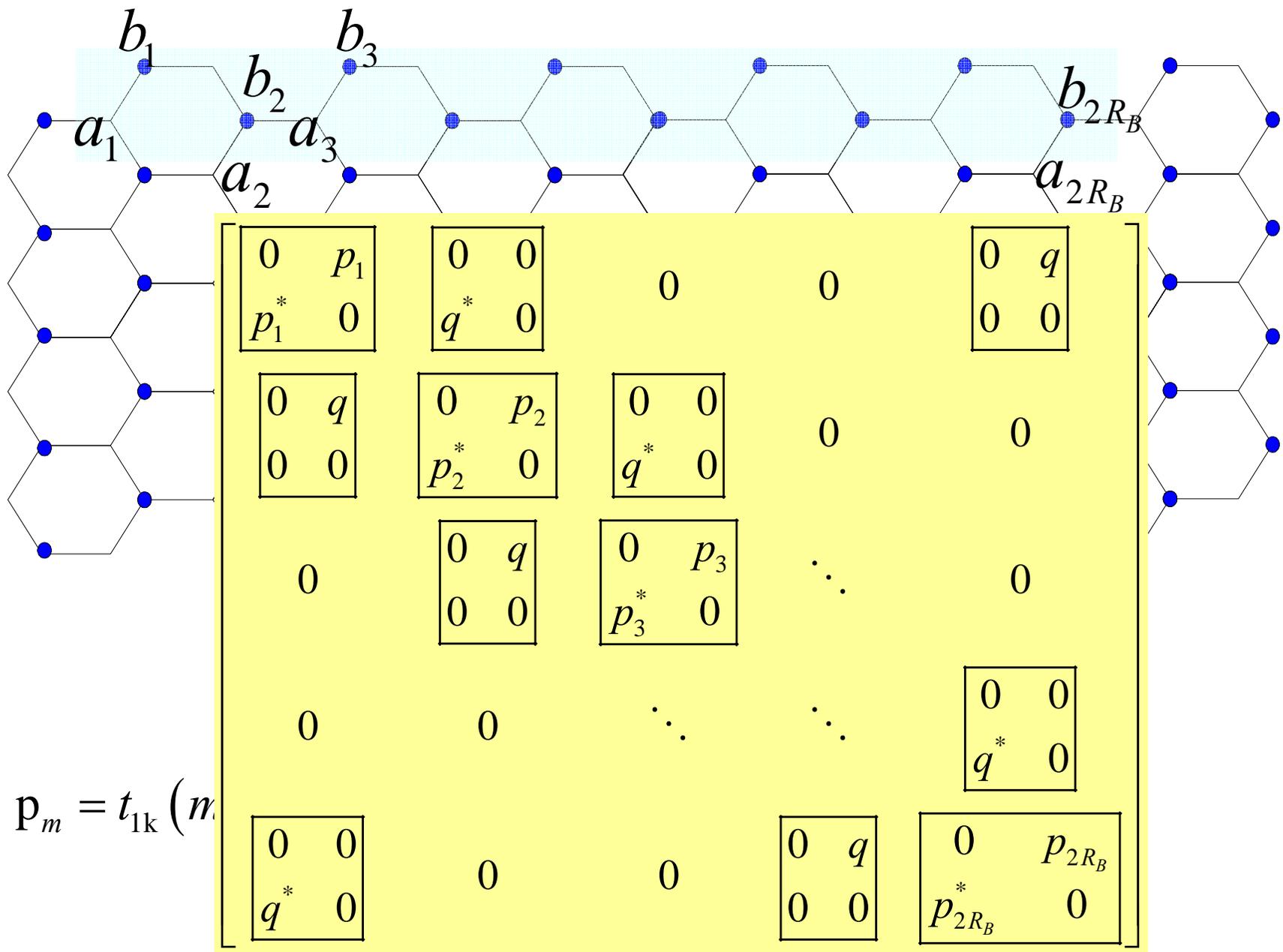


+ ...

$$\langle a_{n\mathbf{k}} | H_B | a_{m\mathbf{k}} \rangle = \langle b_{n\mathbf{k}} | H_B | b_{m\mathbf{k}} \rangle = 0 \quad (13)$$

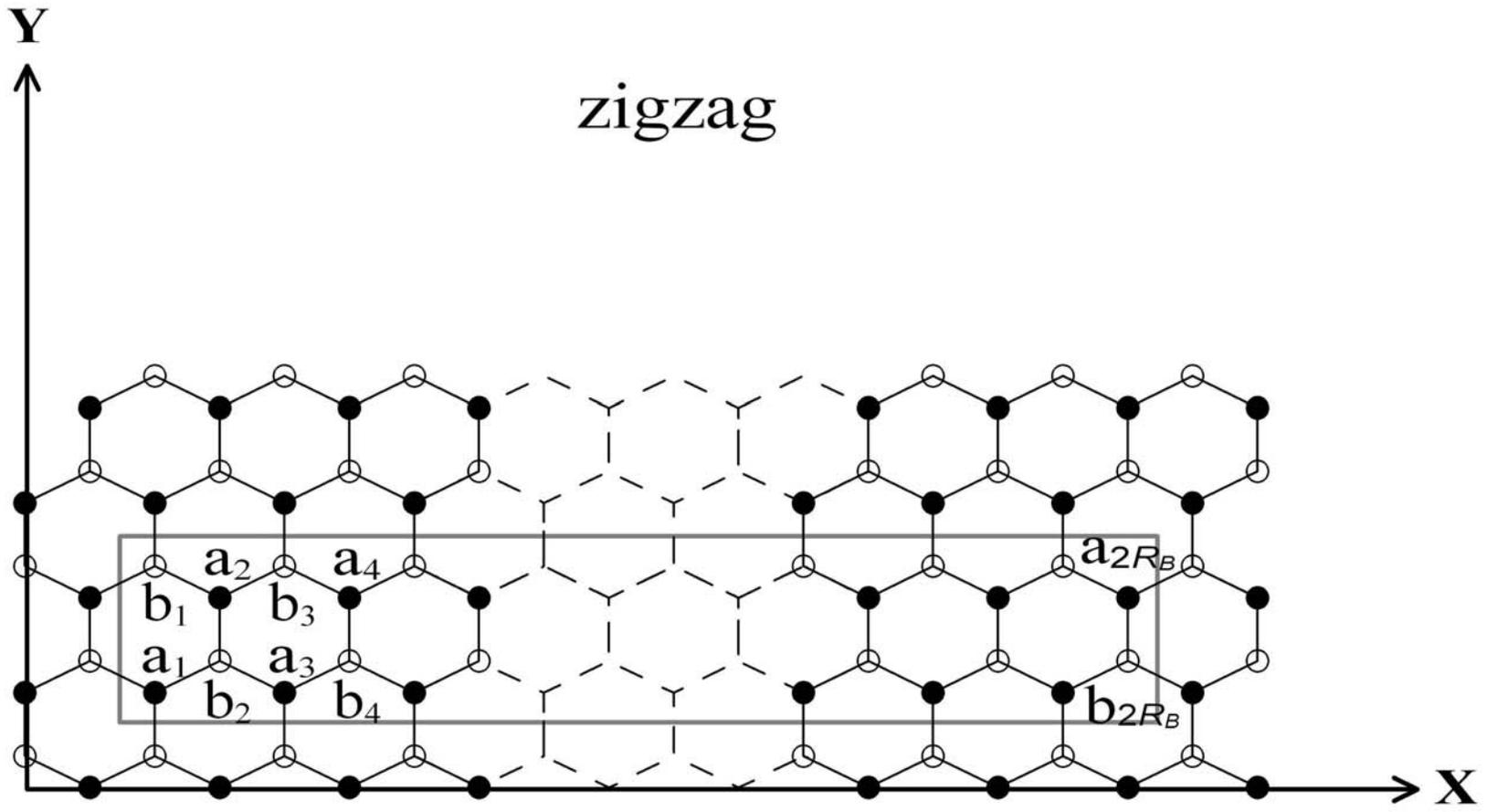
$$\begin{aligned} & \langle a_{n\mathbf{k}} | H_B | b_{m\mathbf{k}} \rangle \\ &= \left[ t_{1k}(m) + t_{2k}(m) \right] \delta_{m,n} + t_{3k} \delta_{m,n-1} \quad (14) \end{aligned}$$

$$\left\{ \begin{array}{l}
t_{1k}(m) = \gamma_0 \exp i \left[ \left( \frac{k_x b'}{2} + \frac{k_y \sqrt{3} b'}{2} \right) + G_m \right] \\
t_{2k}(m) = \gamma_0 \exp i \left[ \left( \frac{k_x b'}{2} - \frac{k_y \sqrt{3} b'}{2} \right) - G_m \right] \\
t_{3k} = \gamma_0 \exp(-ik_x b') \\
\text{where } G_m = - \left[ 6 \frac{(R_B)^2 \Phi}{\pi} \right] \cos \left[ \pi \left( m - \frac{5}{6} \right) / R_B \right] \sin \left( \frac{\pi}{6R_B} \right), \\
\Phi = B/\Phi_0, b' = 1.42 \text{ \AA}
\end{array} \right. \quad (15)$$





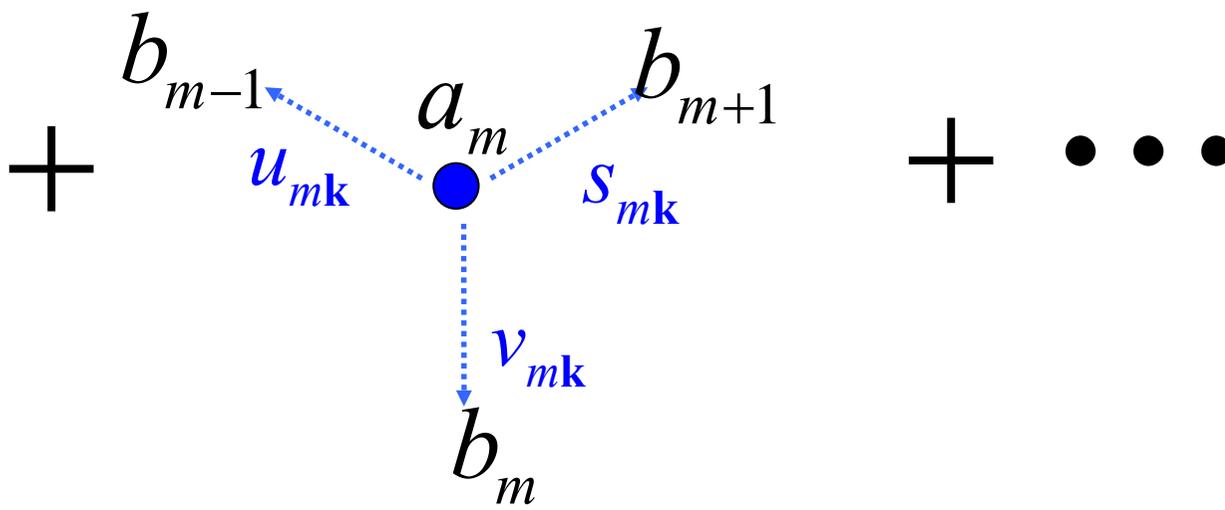
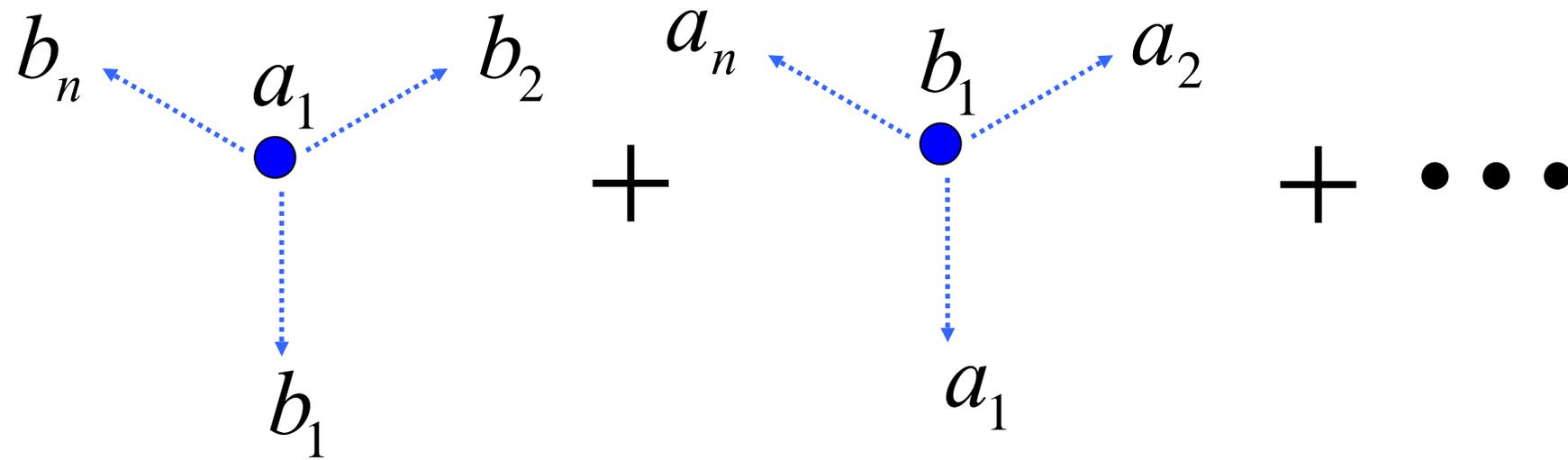
$$\begin{pmatrix}
0 & q^* & p_1^* & 0 & \dots & \dots & 0 & 0 \\
q & 0 & 0 & p_{2\mathcal{R}_B} & 0 & \dots & \dots & 0 \\
p_1 & 0 & 0 & 0 & q & 0 & \dots & 0 \\
0 & p_{2\mathcal{R}_B}^* & 0 & 0 & 0 & q^* & 0 & 0 \\
\vdots & \ddots & q^* & 0 & 0 & \ddots & \ddots & 0 \\
\vdots & \dots & \ddots & q & \ddots & \ddots & 0 & p_{\mathcal{R}_B+1} \\
0 & \vdots & \vdots & \ddots & \ddots & 0 & \ddots & q \\
0 & 0 & 0 & 0 & 0 & p_{\mathcal{R}_B+1}^* & q^* & 0
\end{pmatrix} \quad (16)$$



The positions of atoms a and b:

The original point  $a_1 = (0,0)$ , then

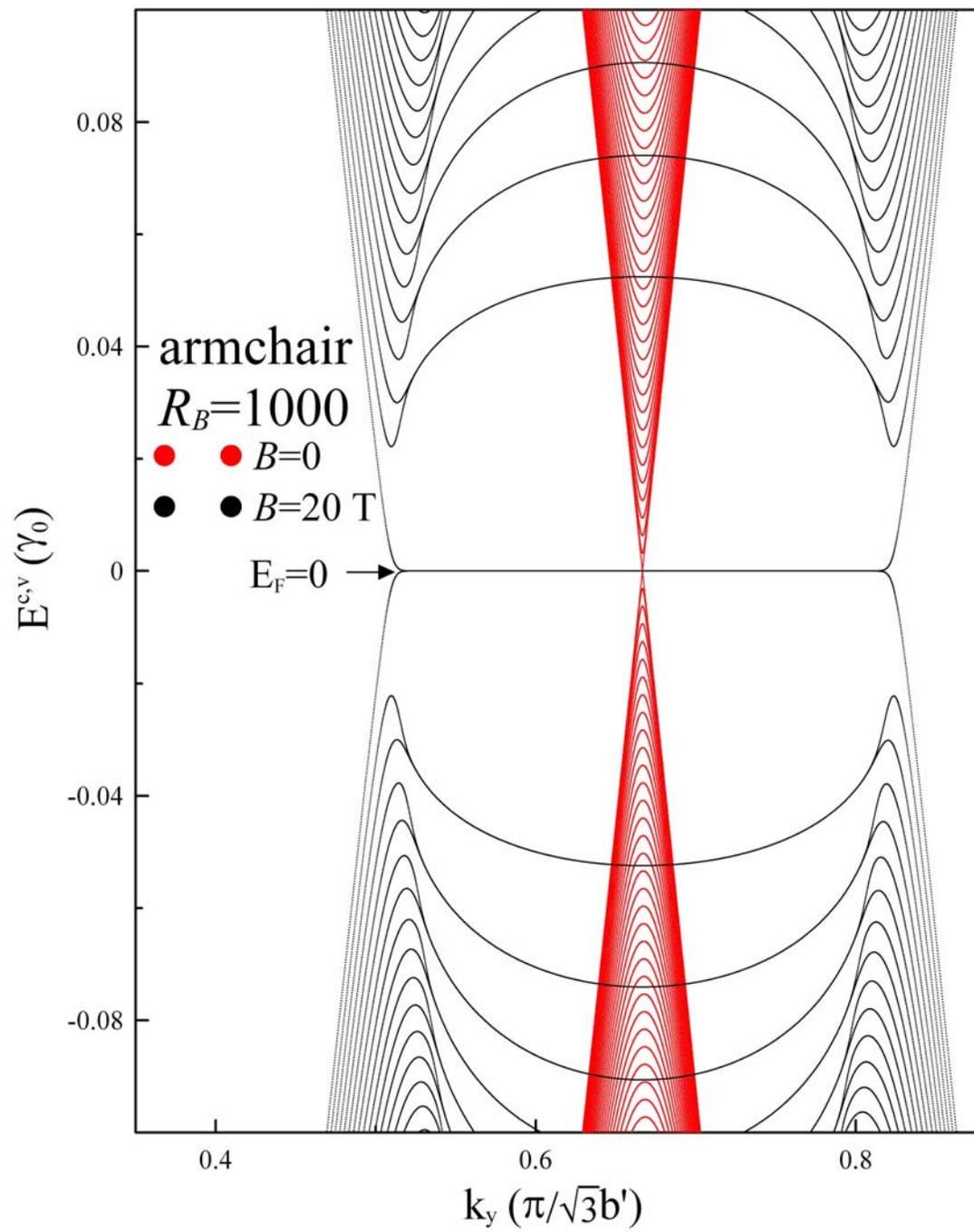
$$\left\{ \begin{array}{l} a_m^x = \frac{\sqrt{3}b'}{2}(m-1) \\ b_m^x = \frac{\sqrt{3}b'}{2}(m-1) \end{array} \right. \quad (17)$$

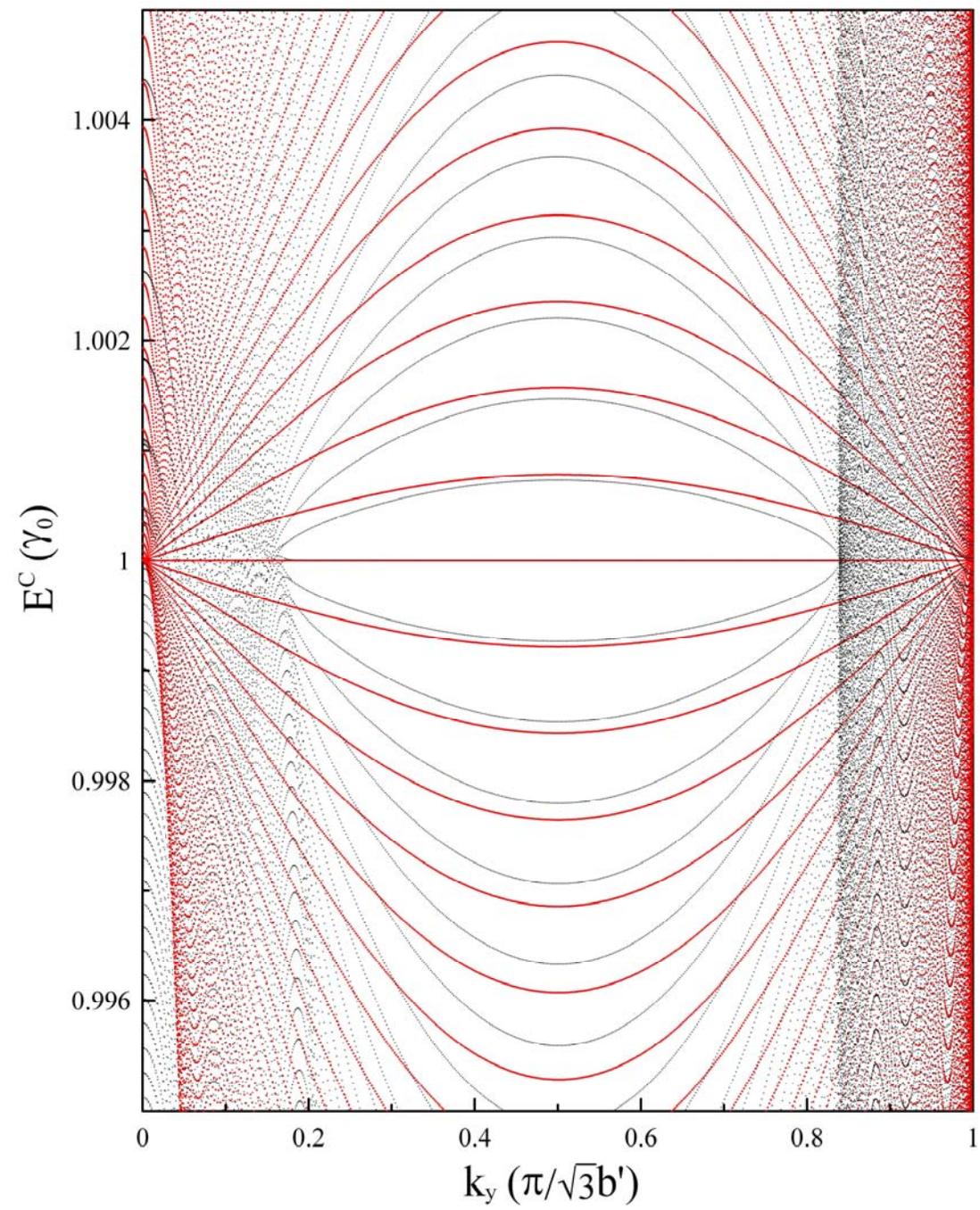


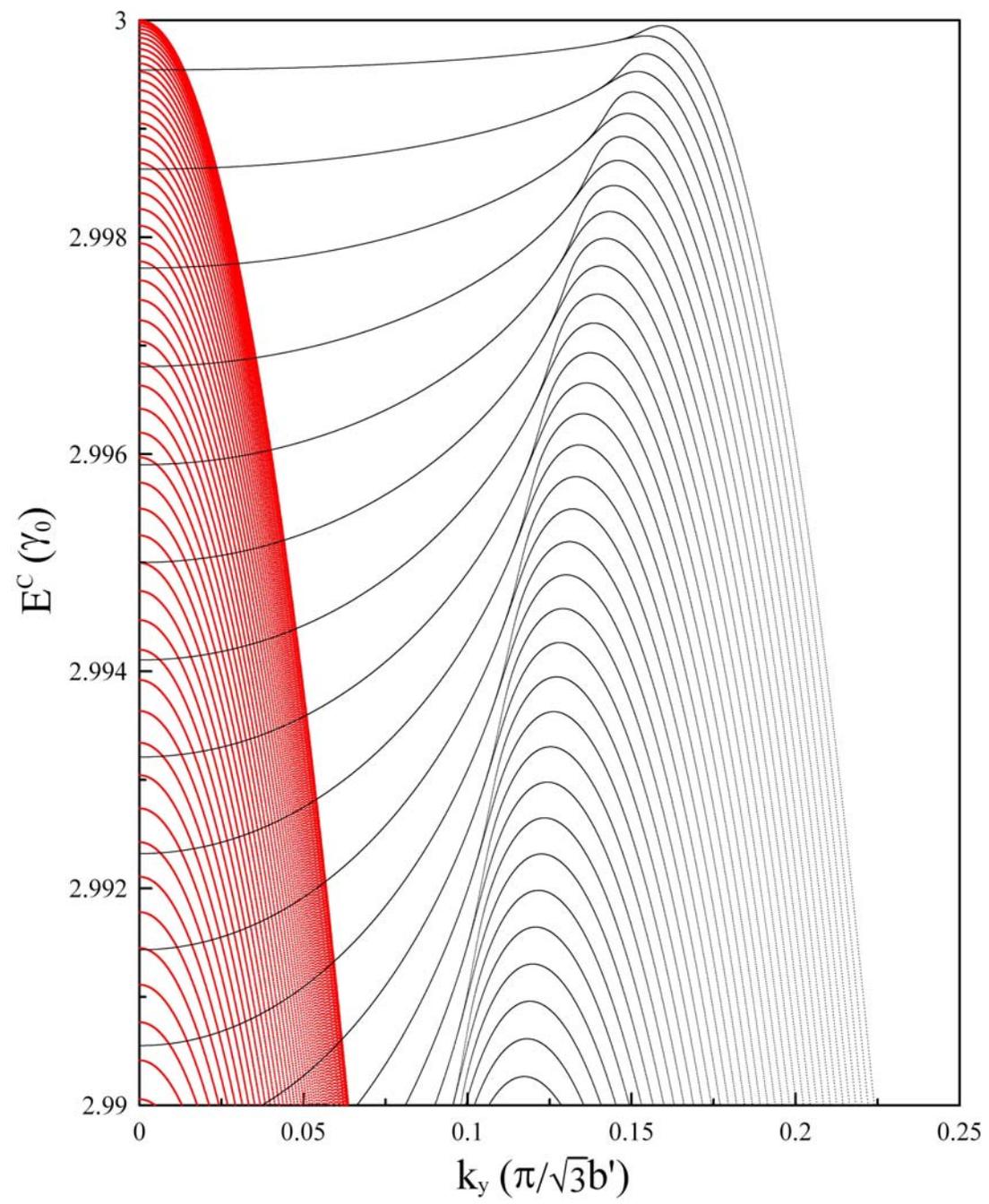
$$\langle a_{m\mathbf{k}} | H_B | a_{n\mathbf{k}} \rangle = \langle b_{m\mathbf{k}} | H_B | b_{n\mathbf{k}} \rangle = 0 \quad (18)$$

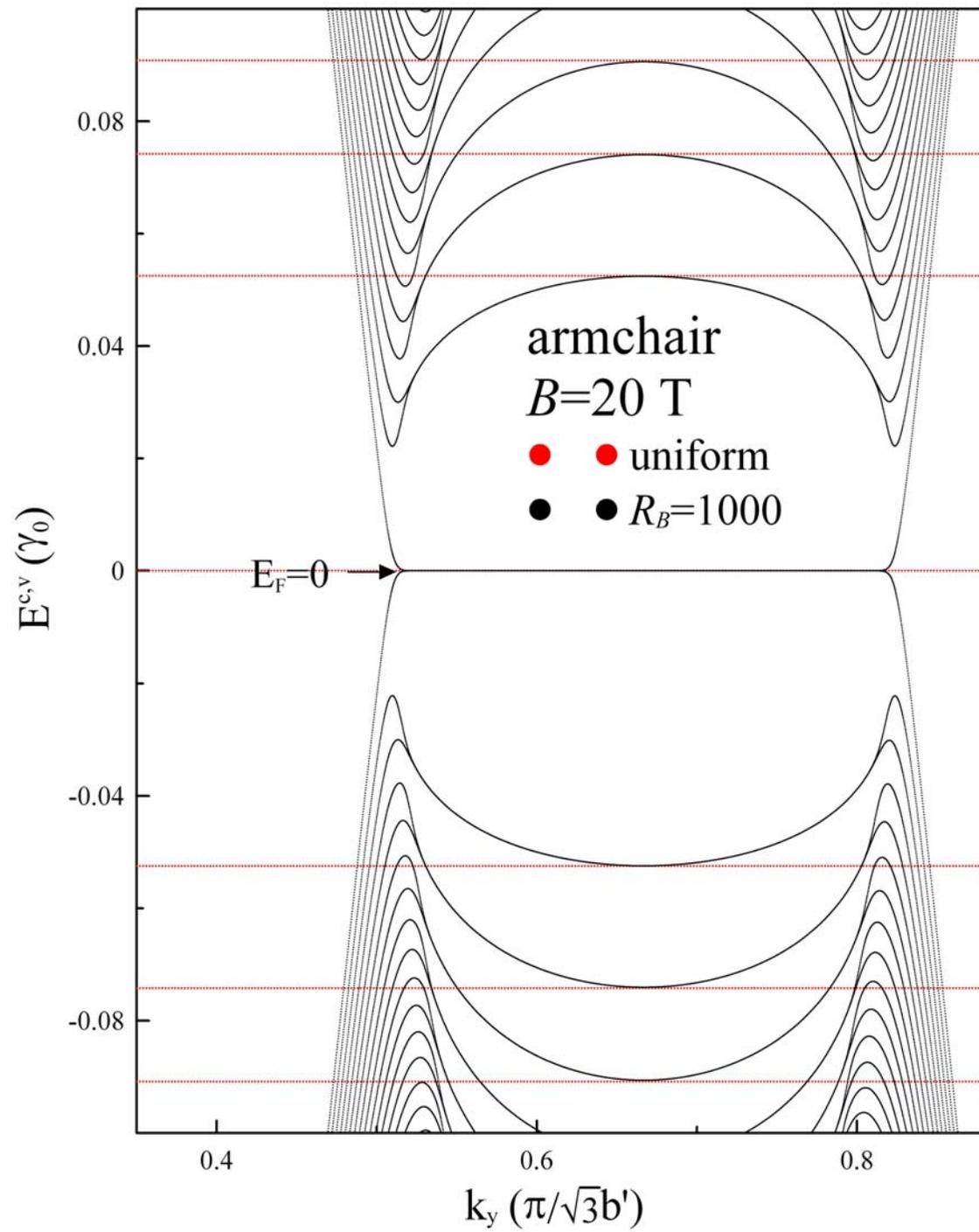
$$\begin{aligned} & \langle a_{n\mathbf{k}} | H_B | b_{m\mathbf{k}} \rangle \\ &= s_{m\mathbf{k}} \delta_{m,n+1} + u_{m\mathbf{k}} \delta_{m,n-1} + v_{m\mathbf{k}} \delta_{m,n} \quad (19) \end{aligned}$$

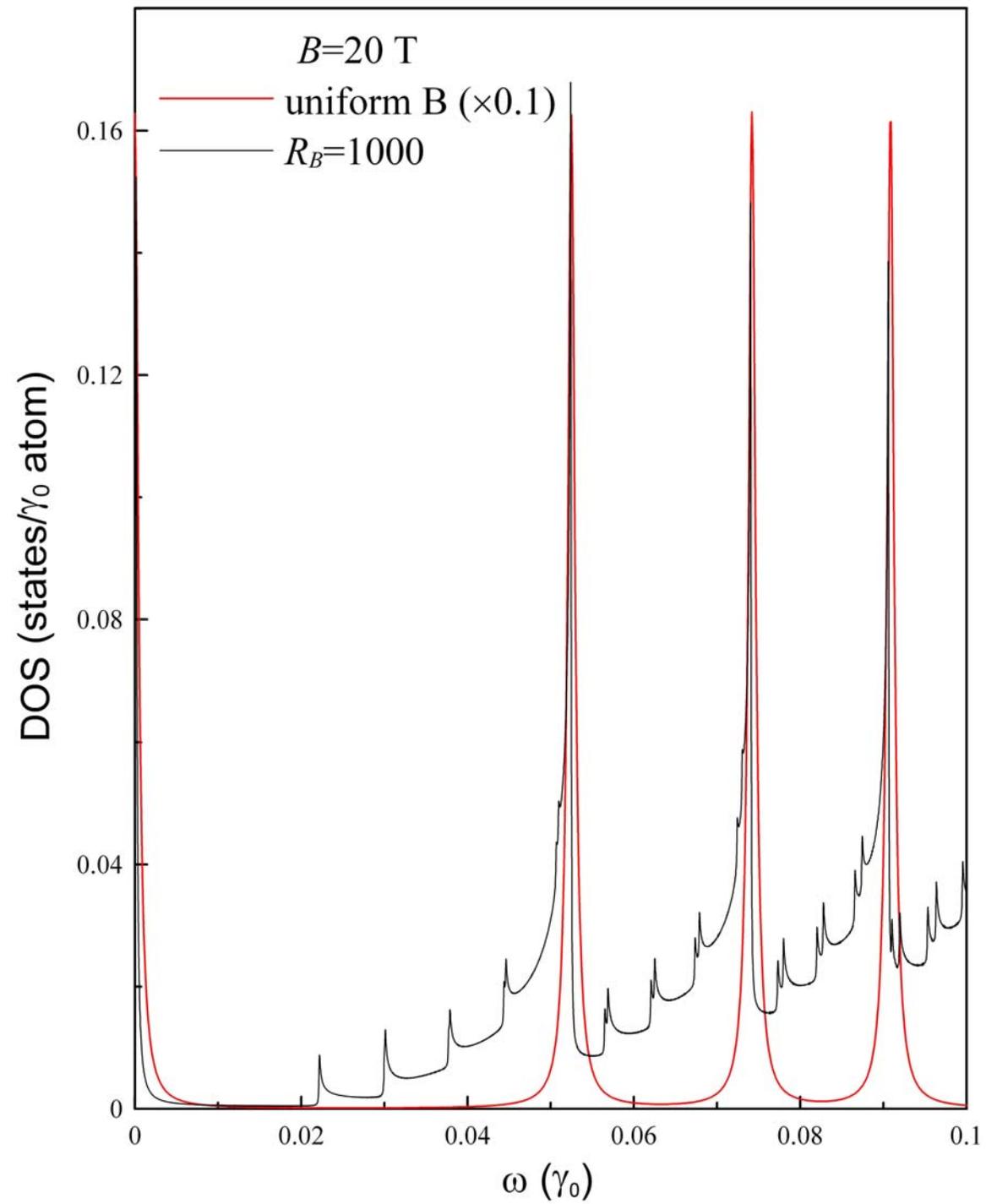
$$\left( \begin{array}{cccccccccc}
0 & u_{2R_B}^* & v_1^* & 0 & s_1^* & 0 & \dots & 0 & 0 & 0 \\
u_{2R_B} & 0 & 0 & v_{2R_B} & 0 & s_{2R_B-1} & 0 & 0 & 0 & 0 \\
v_1 & 0 & 0 & s_{2R_B} & \dots & \dots & \dots & \dots & 0 & 0 \\
0 & v_{2R_B}^* & s_{2R_B}^* & 0 & \dots & \dots & \dots & \dots & 0 & \vdots \\
s_1 & 0 & \dots & \dots & \dots & \dots & \dots & \dots & s_{R_B-1}^* & 0 \\
0 & s_{2R_B-1}^* & \dots & \dots & \dots & \dots & \dots & \dots & 0 & s_{R_B+1} \\
\vdots & 0 & \dots & \dots & \dots & \dots & 0 & s_{R_B}^* & v_{R_B}^* & 0 \\
0 & 0 & \dots & \dots & \dots & \dots & s_{R_B} & 0 & 0 & v_{R_B+1} \\
0 & 0 & 0 & 0 & s_{R_B-1} & 0 & v_{R_B} & 0 & 0 & u_{R_B} \\
0 & 0 & 0 & \dots & 0 & s_{R_B+1}^* & 0 & v_{R_B+1}^* & u_{R_B}^* & 0
\end{array} \right) \quad (20)$$

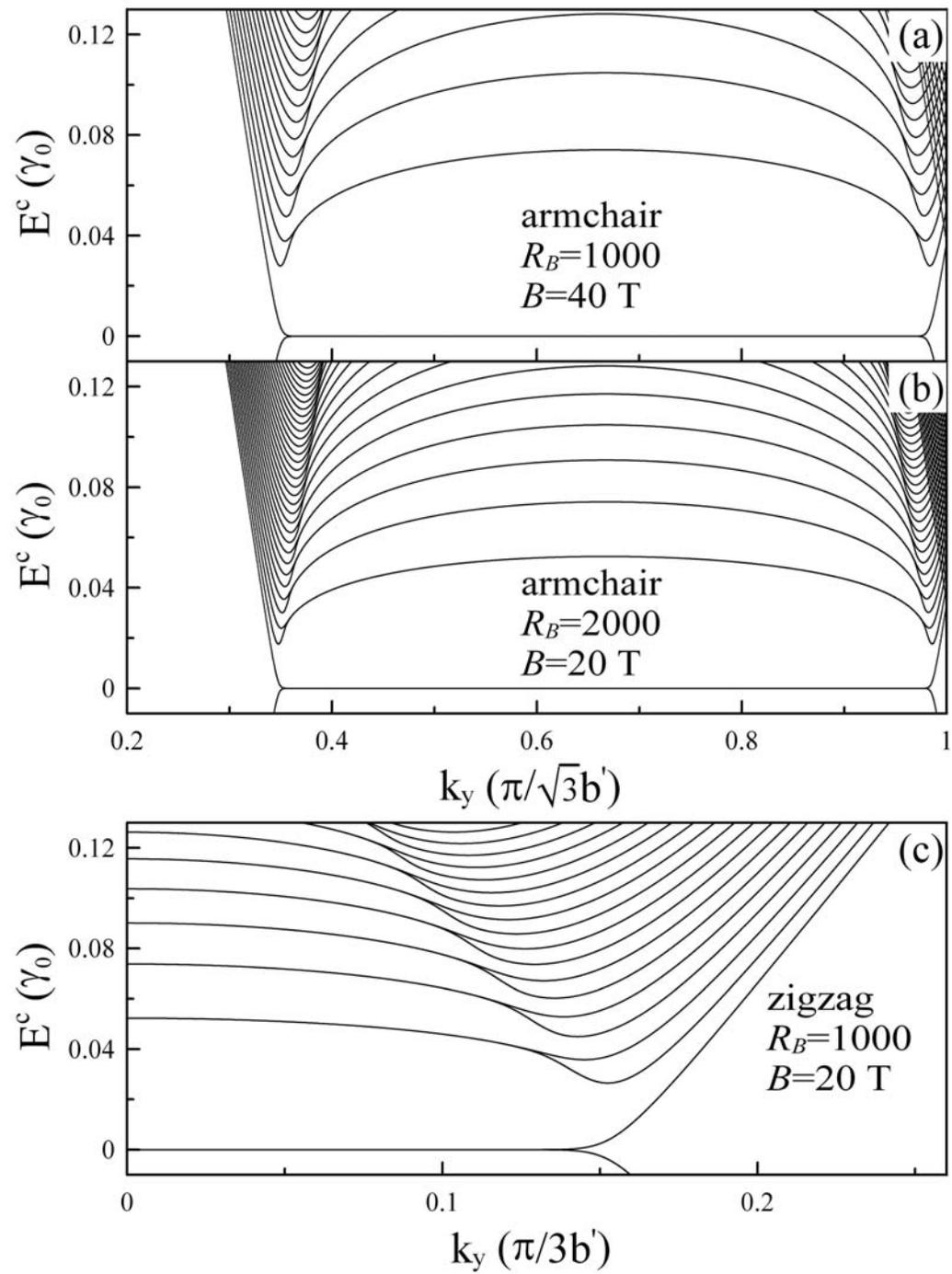


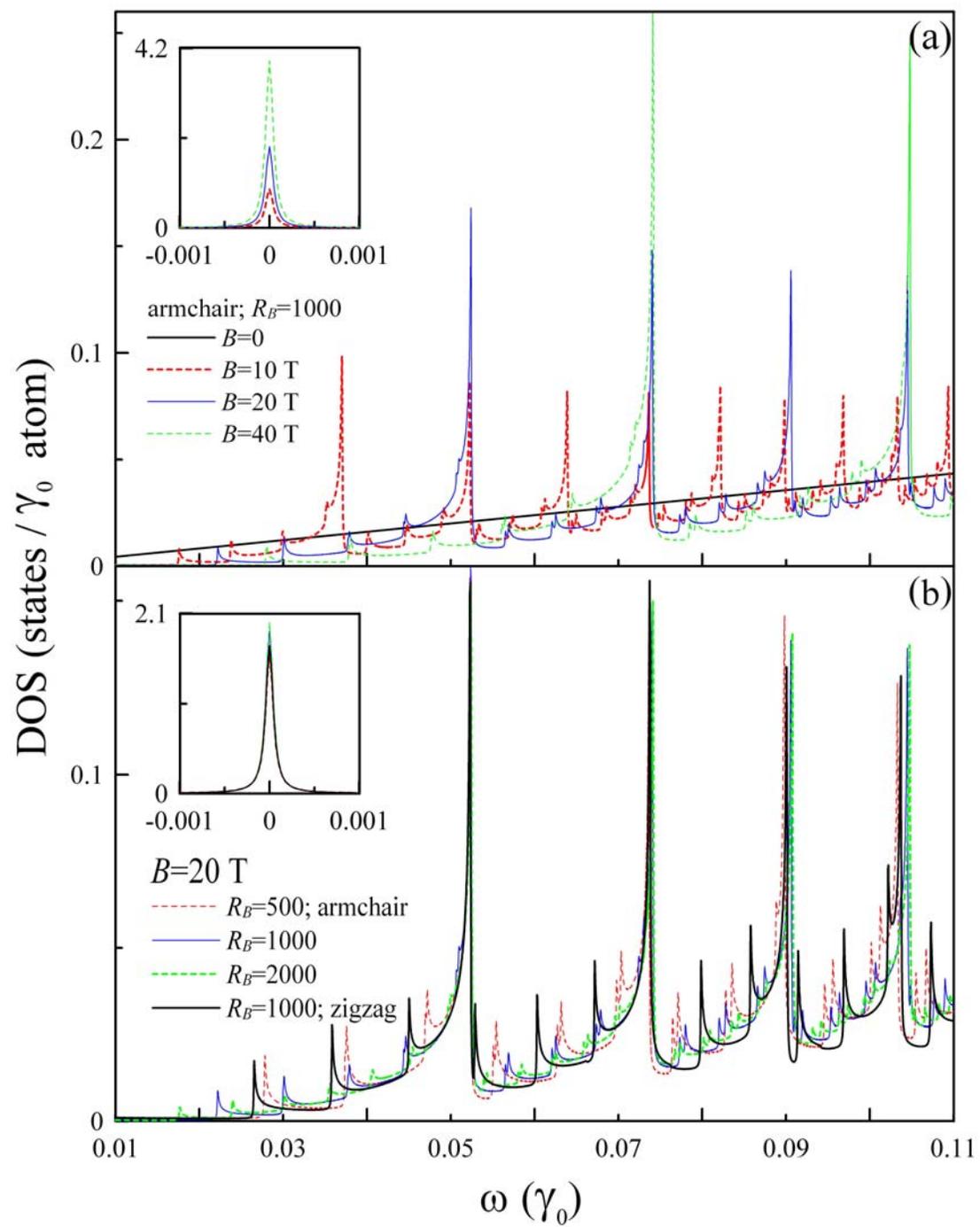


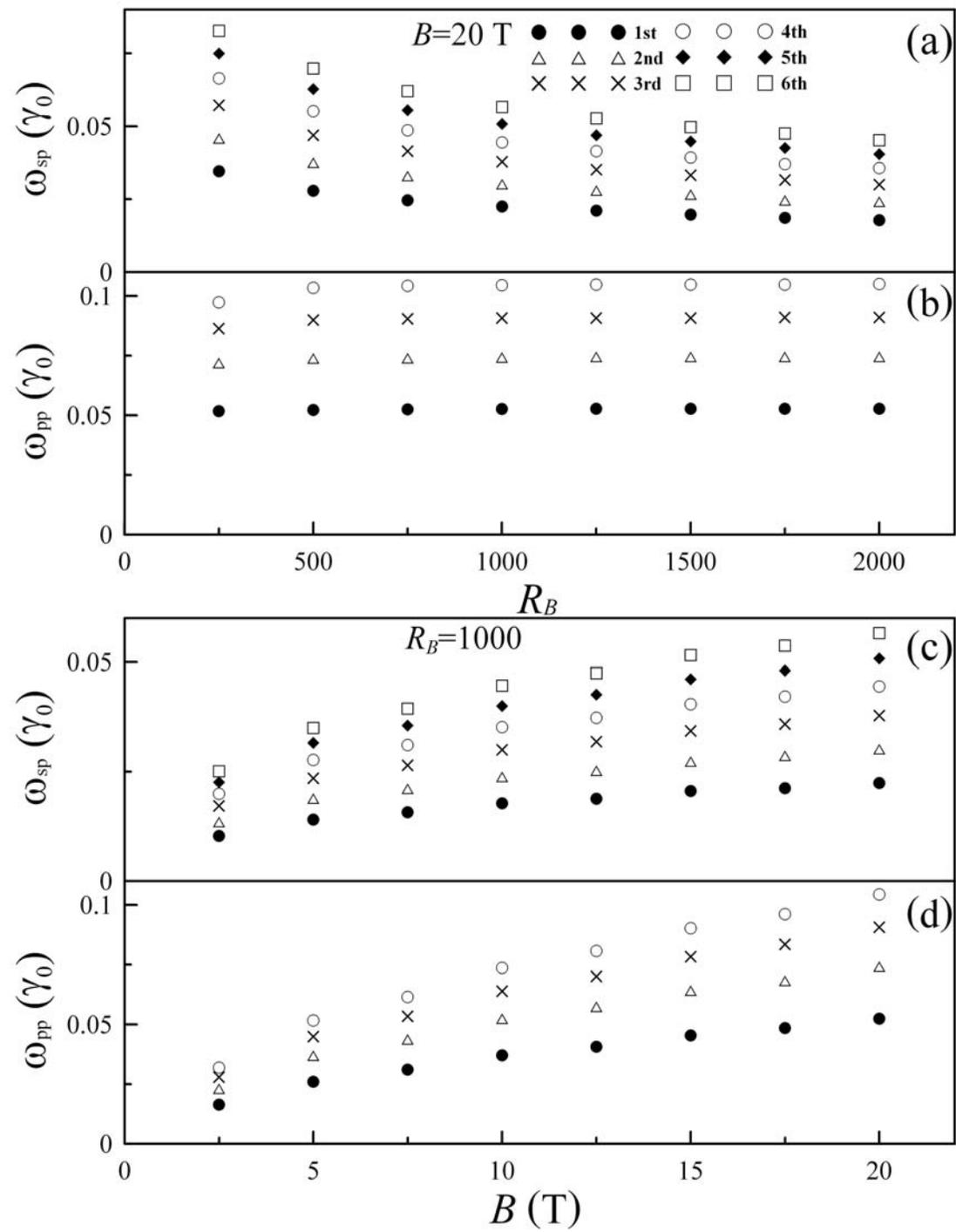








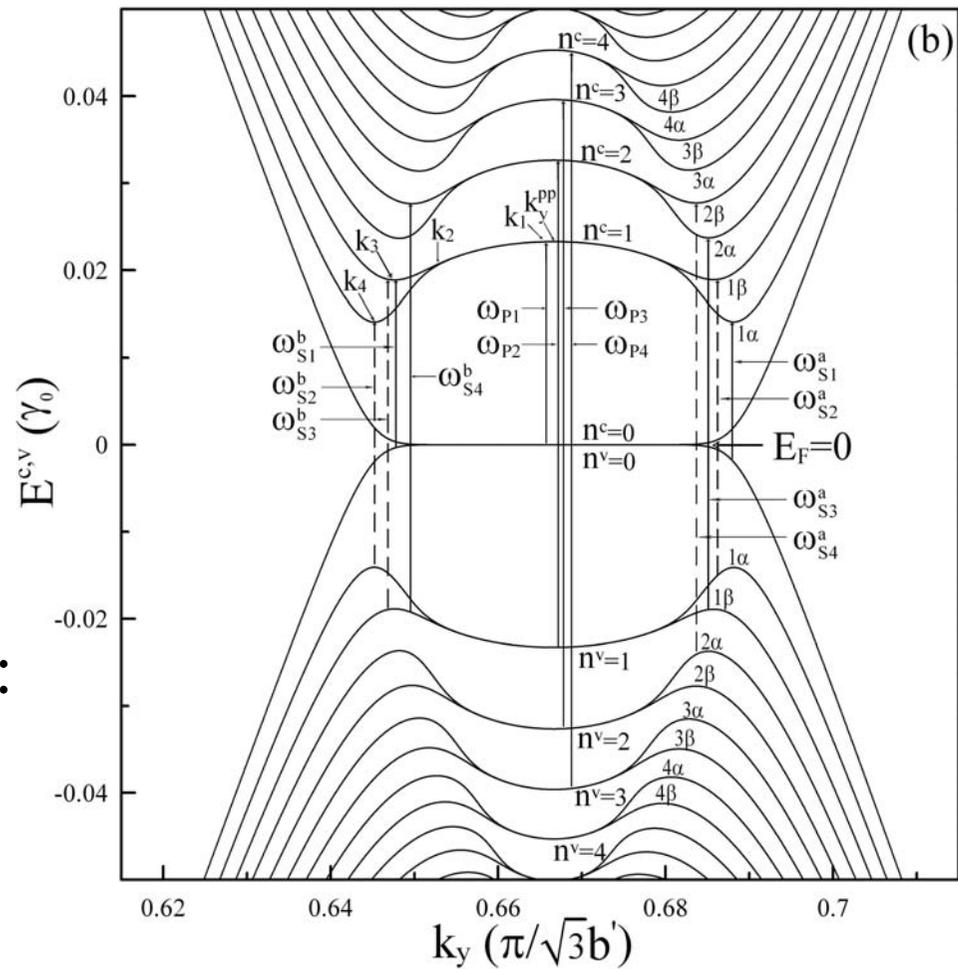
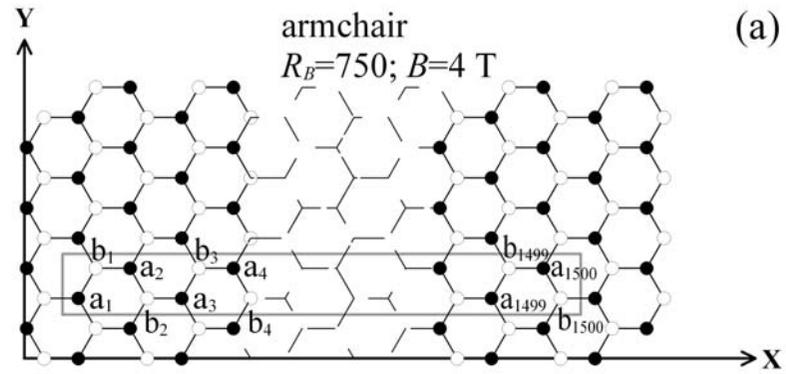




**Rewrite Eq. (12)**

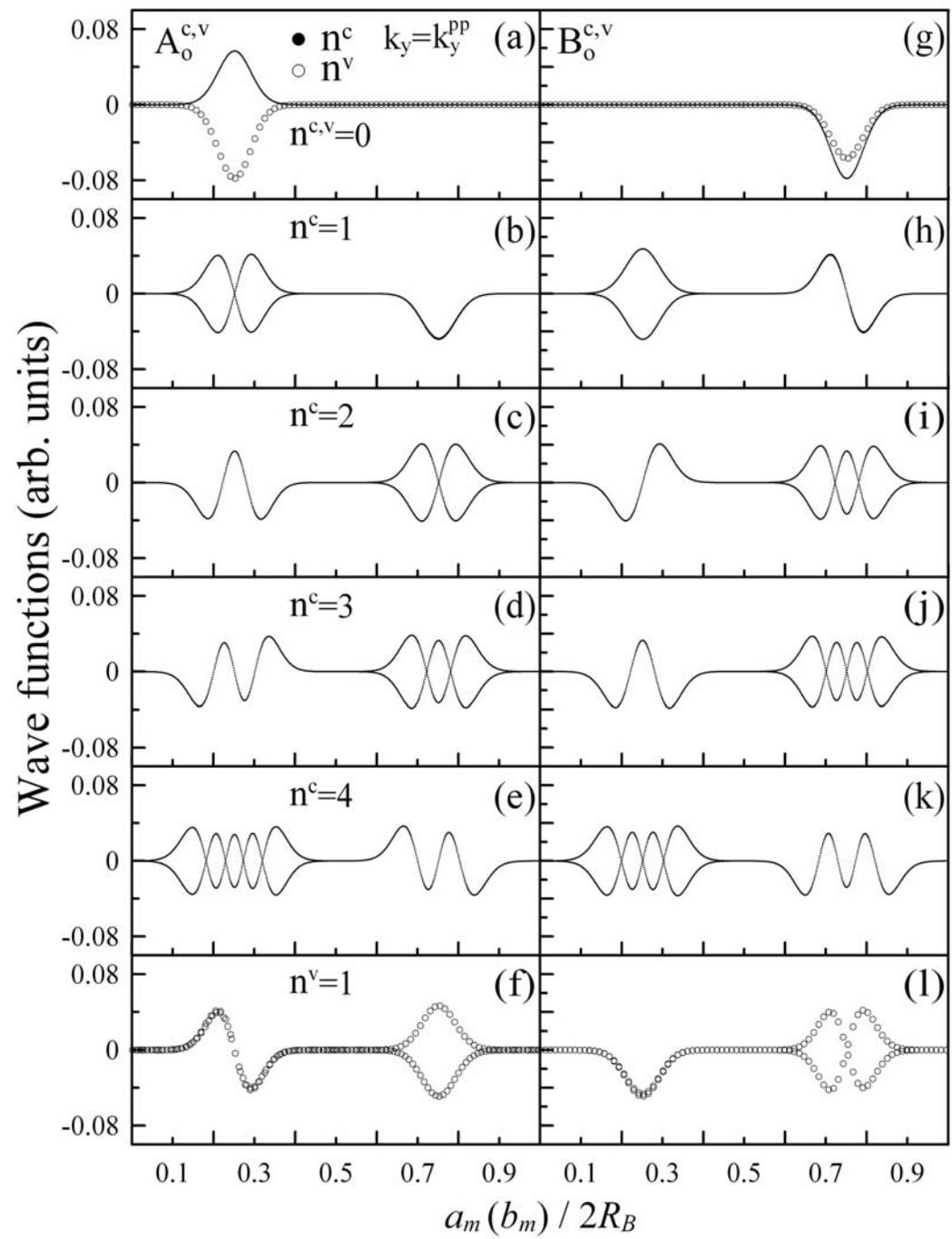
**The wave function becomes:**

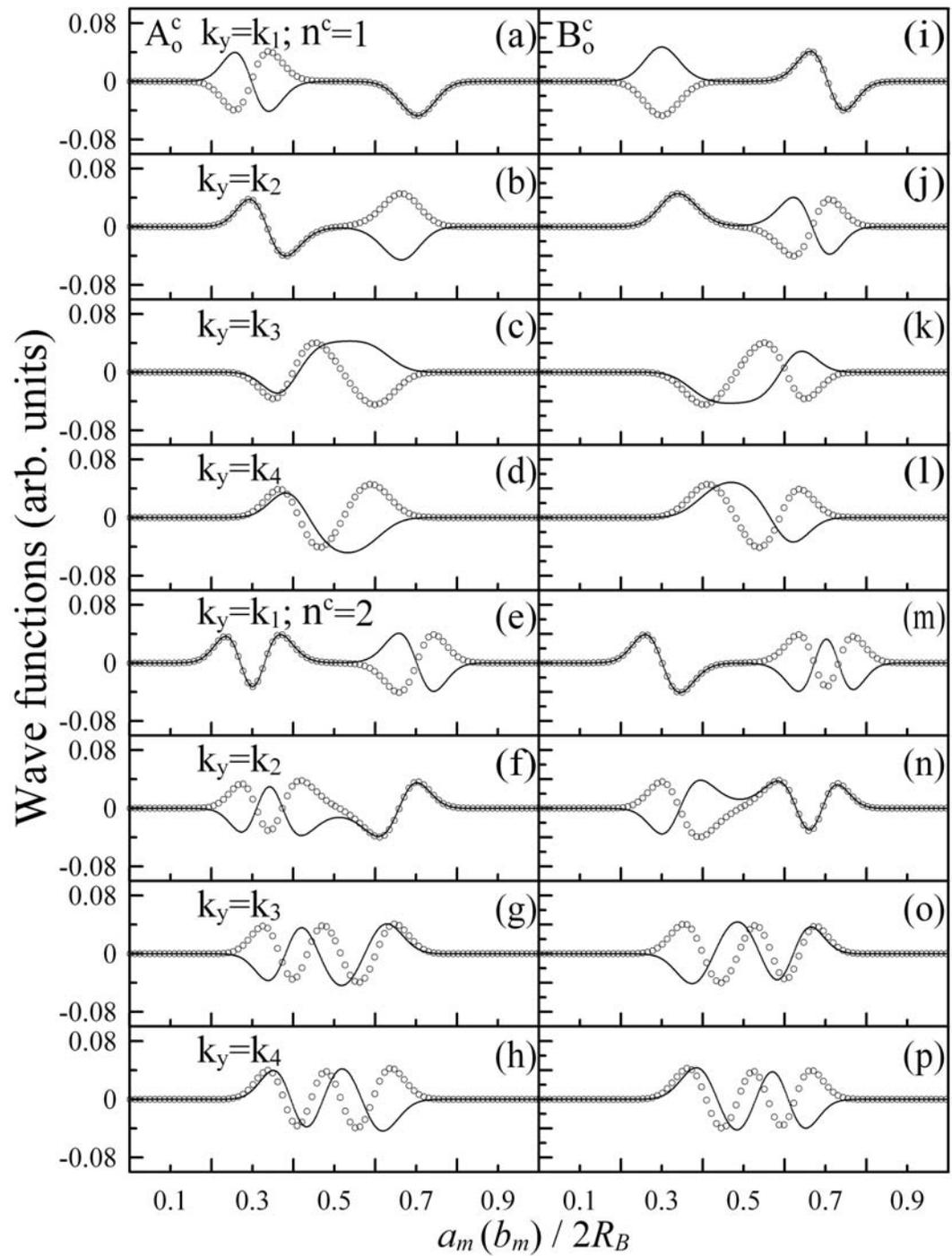
$$\begin{aligned} |\Psi_{\mathbf{k}}^{c,v}\rangle &= \sum_{m=1}^{2R_B-1} \left( A_o^{c,v} |a_{m\mathbf{k}}\rangle + B_o^{c,v} |b_{m\mathbf{k}}\rangle \right) \\ &+ \sum_{m=2}^{2R_B} \left( A_e^{c,v} |a_{m\mathbf{k}}\rangle + B_e^{c,v} |b_{m\mathbf{k}}\rangle \right) \quad (21) \end{aligned}$$

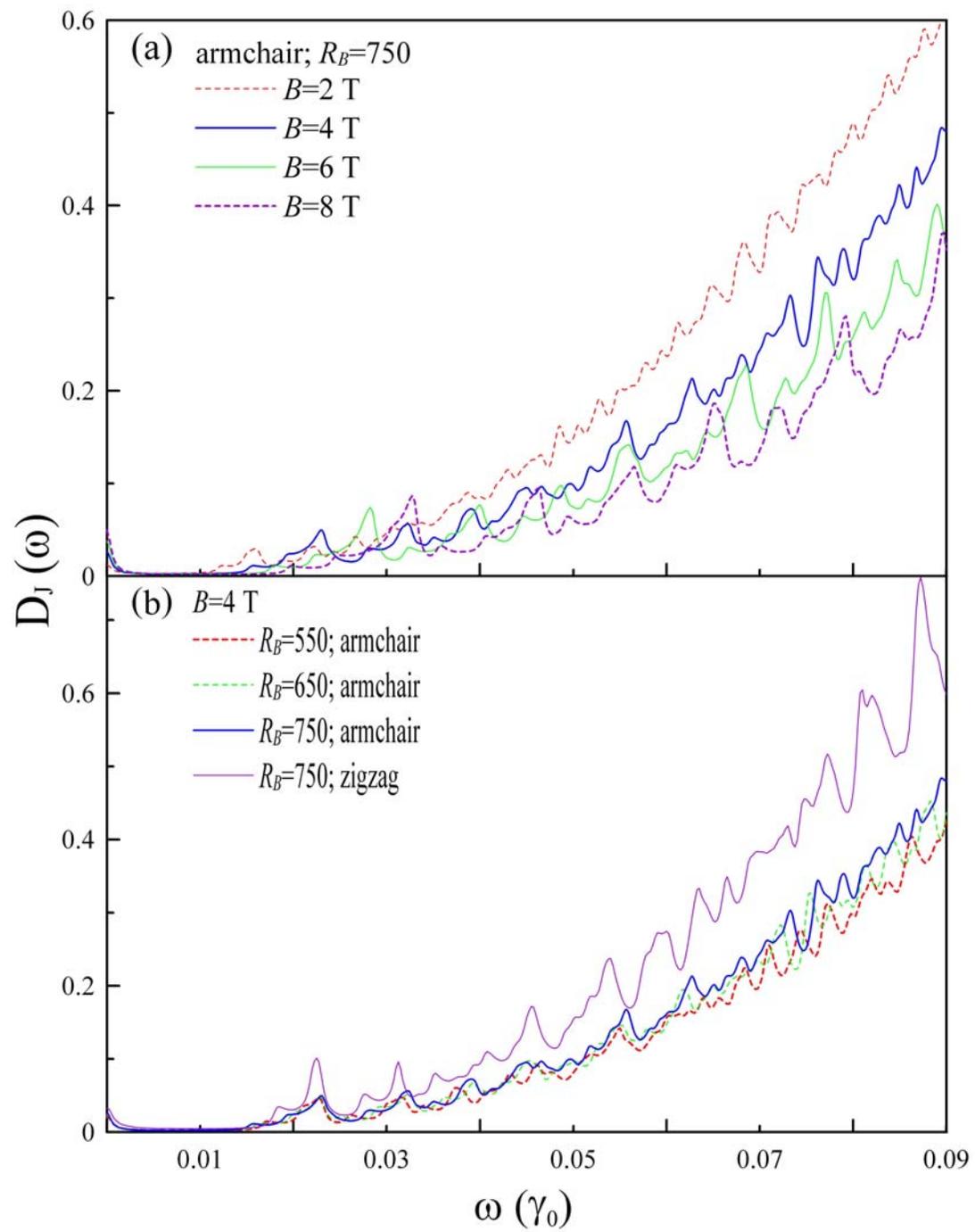


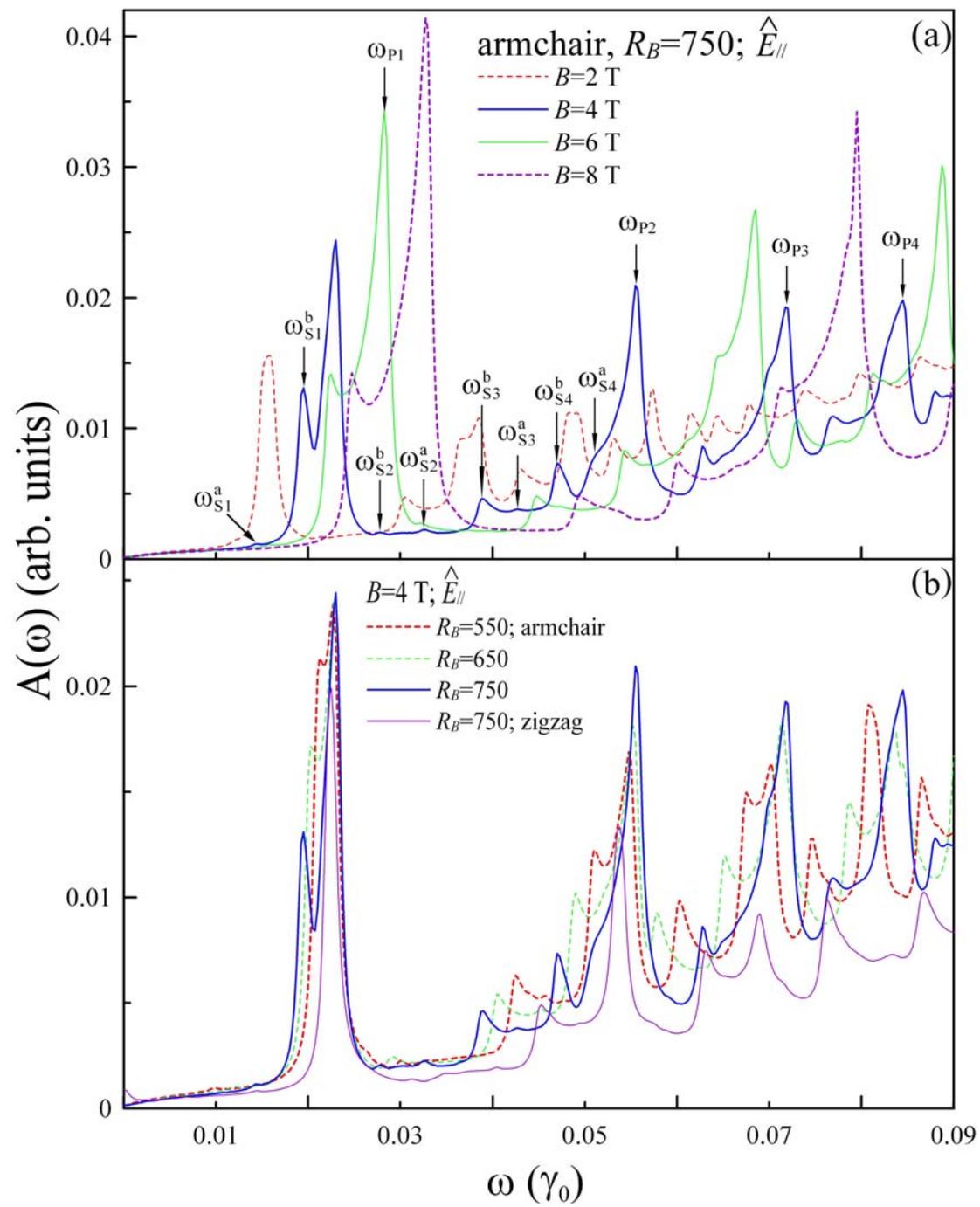
Effective quantum number :

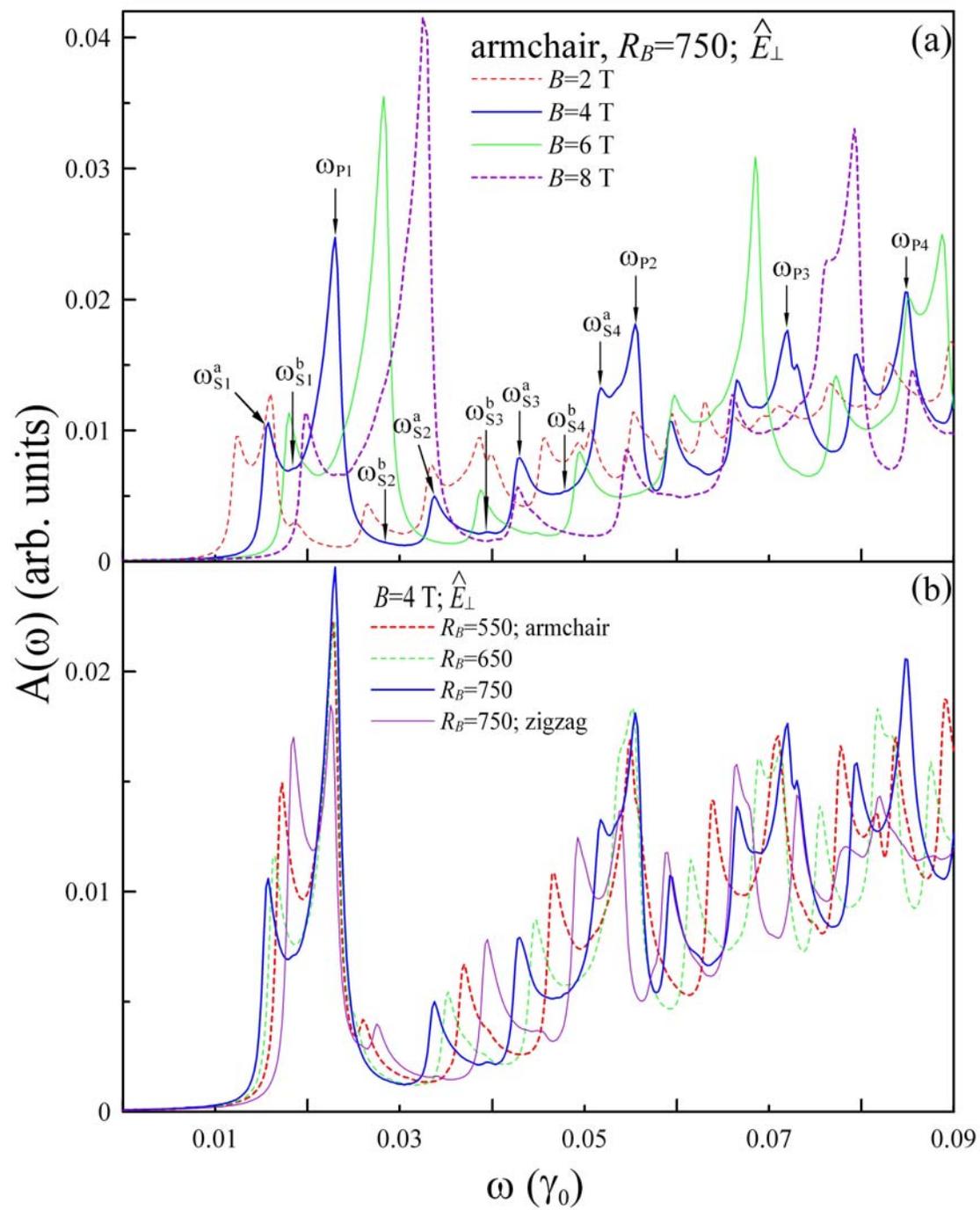
$$n^{c,v} = \tilde{n} - 1 \text{ for the } \tilde{n}\text{th QLL}$$











# Optical selection rule:

**For  $\omega_p$  :**  $|\Delta n| = |n^c - n^v| = 1$

**For  $\omega_{SP}$  :**  $|\Delta n| = |n^c - n^v| = 1, \mathbf{0}$

## Velocity matrix element:

$$M^{cv} = \left\langle \Psi^c(\mathbf{k}, \tilde{n}) \left| \frac{\hat{\mathbf{E}} \cdot \mathbf{P}}{m_e} \right| \Psi^v(\mathbf{k}, \tilde{n}') \right\rangle$$

## By gradient approximation

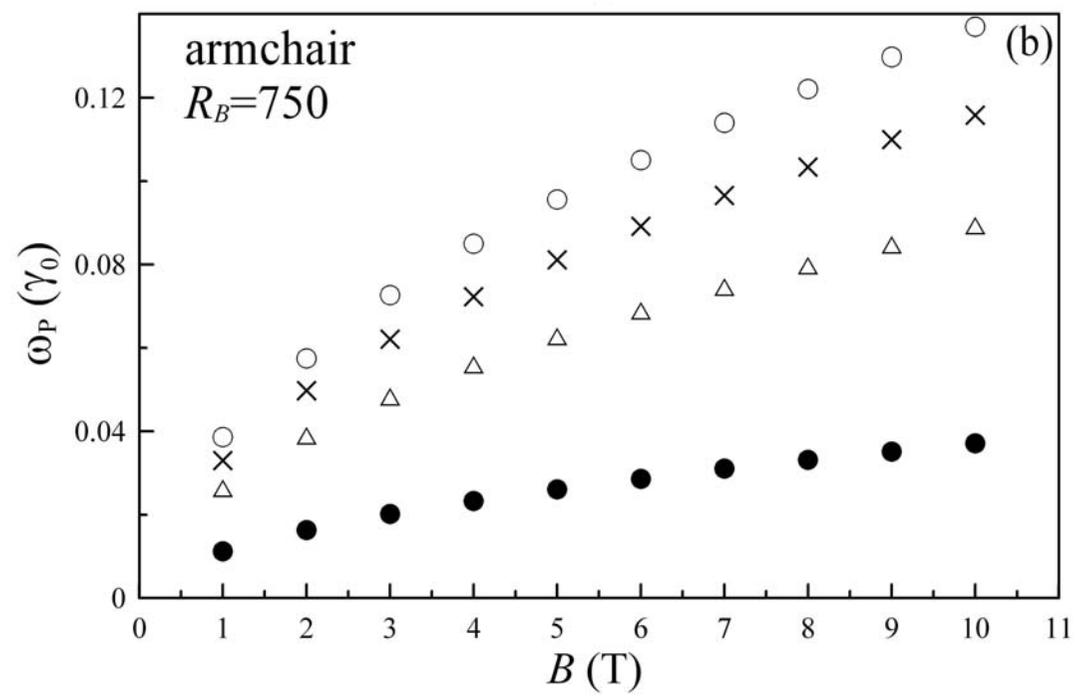
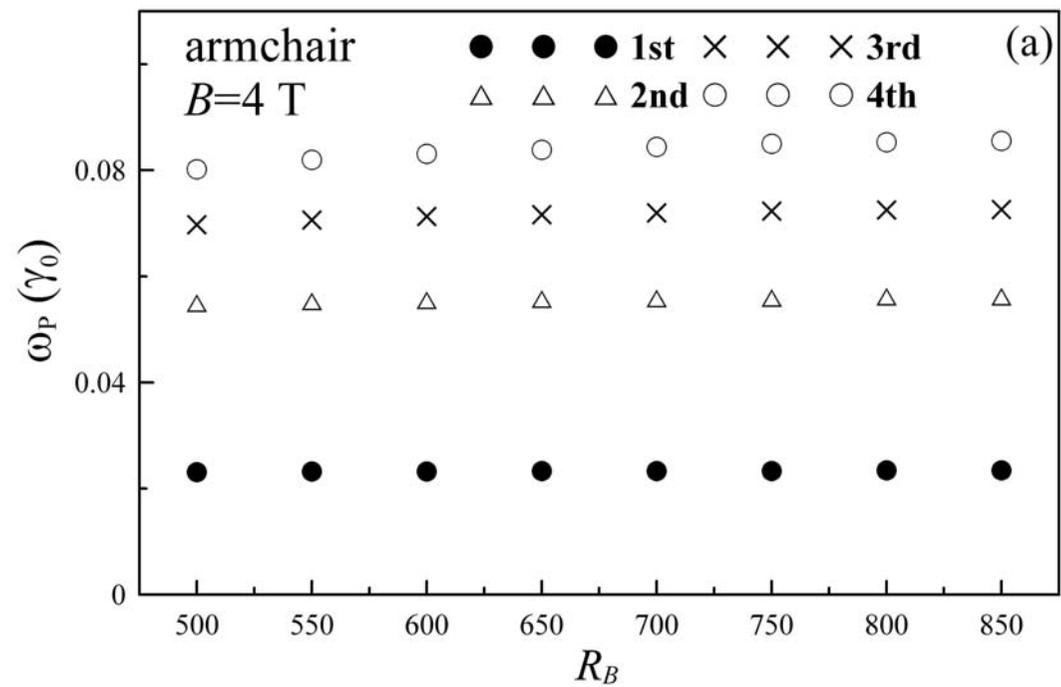
$$M^{cv} \approx$$

$$\sum_{m, m'=1}^{2R_B} \left[ \left( A_o^c + A_e^c \right)^* \left( B_{o'}^v + B_{e'}^v \right) + \left( B_o^c + B_e^c \right)^* \left( A_{o'}^v + A_{e'}^v \right) \right] \\ \times \nabla_{\mathbf{k}} \left\langle a_{m\mathbf{k}} \left| H_B \right| b_{m'\mathbf{k}} \right\rangle \quad (22)$$

$$M_{AB}^{cv} \equiv \left( A_o^c + A_e^c \right)^* \left( B_{o'}^v + B_{e'}^v \right) + \left( B_o^c + B_e^c \right)^* \left( A_{o'}^v + A_{e'}^v \right) \quad (23)$$

$$M_x^{cv} = \left| b' \gamma_0 \left[ \cos \left( \sqrt{3} b' k_y / 2 + G_m \right) - 1 \right] \right| \quad \text{for } \hat{\mathbf{E}} \parallel \hat{\mathbf{x}} \quad (24.a)$$

$$M_y^{cv} = \left| \sqrt{3} b' \gamma_0 \left[ \sin \left( \sqrt{3} b' k_y / 2 + G_m \right) \right] \right| \quad \text{for } \hat{\mathbf{E}} \perp \hat{\mathbf{x}} \quad (24.b)$$



## Conclusions:

1. The low-energy electronic properties of a graphene monolayer are strongly affected by a modulated magnetic field, i.e., the dimensionality, energy dispersions, extra band-edge states, asymmetry, state degeneracy, and anisotropy of energy bands.
2. The low-frequency optical absorption spectra exhibit many prominent absorption peaks resulting from the original and extra band-edge states. They reveal different optical selection rules because their wave functions present different features.
3. The anisotropic properties in the modulated directions and electric polarization direction could be reflected by the optical absorption spectra.

**Thank You**